Change of Ownership
Applicant’s Licensing Guide
for
Child Care Learning Centers

Bright from the Start
Georgia Department of Early Care and Learning
2 Martin Luther King Jr. Drive, SE
Suite 670, East Tower
Atlanta, Georgia 30334
404-657-5562
www.decal.ga.gov

Revised October 2019
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Introduction

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Steps For Successful Change of Ownership Licensing Application

1. The new owner must obtain the change of ownership licensing application and become familiar with appropriate rules and regulations for the type of facility that will be operating. The application can be downloaded from the Bright from the Start website at www.decal.ga.gov.

2. Plan the facility for compliance with the rules and regulations and submit the completed application to the Applicant Services Unit (ASU) at Bright from the Start: Georgia Department of Early Care and Learning (DECAL). The mailing address is: 2 Martin Luther King Jr. Drive SE, Suite 670, East Tower, Atlanta, GA 30334.

3. Submit the completed Affidavit Verifying Status for Child Care Learning Center. This should be signed and notarized and submitted with your completed application. This should be completed by the owner of the business or the person legally responsible for the business.

4. Submit with the application and a copy of the floor and site plans of the facility. The floor plan should show all rooms of the facility and ages that will house each room. The site plan should show the property of the location and the building(s) and playground(s) of the property. Please be as detailed as possible. You may submit original floor and site plans from the former owner. However, if any changes have been made to the facility or playgrounds, please indicate these on the floor and site plans that will be submitted.

5. If the ownership of your facility is under a Corporation (Inc.) or a Limited Liability Company (LLC), you will need to submit the following documentation:
   - A certificate of incorporation with the articles of incorporation must be included as well as by-laws, when applicable. For LLC, include Certificate of Organization and Articles of Organization.
   - Also for corporations, all information listed on the application regarding your corporation should match the information listed with Secretary of State. This can be verified at www.sos.ga.gov/corporations

6. A signed copy of the purchase agreement or a copy of the signed lease agreement must be submitted with your application verifying your ownership of the facility. Both the seller and buyer must complete the buyer/seller agreement. The form must be notarized.

7. The director and employees of the facility should have satisfactory comprehensive background checks through DECAL at http://www.decal.ga.gov/CCS/CriminalRecordsCheck.aspx

8. After the application has been submitted and reviewed, supporting documentation will be pulled from the former facility’s state file in an attempt to complete the application. This documentation may possibly include:
   - zoning approval
   - building inspector approval
   - documentation of city or county water and/or approvals for septic systems or well water

*Please note that if any of these approvals are unable to be pulled from the original state file, it will be your responsibility to obtain and submit them in order to complete your application. All documentation must be complete and on file before an initial licensing study will be done at your facility.

9. After the application is complete and has been approved, an ASU consultant will contact you to review a Licensing Prep Checklist and to schedule an on-site inspection to determine compliance with the rules and regulations. If the facility is approved during the on-site inspection, “Permission to Operate” will be granted and you will continue operation. License fee payments can be made at www.decalkoala.com once you have created an account.
Application Definitions

Child Care Learning Center:

- is operated by a person, society, agency, corporation, institution, or group that receives pay for care of children
- children remain in care less than 24 hours per day
- provides care for 19 or more children, younger than 18 years of age

Child Care Learning Center licensed for a capacity of 18 or less:

- is operated by any person, partnership, association, or corporation that receives pay for care of children
- children remain in care less than 24 hours per day
- provides care for 7 to 18 children, younger than 18 years of age
License Fees

The Georgia Legislature passed House Bill 1055 which requires annual fees for applications for licensure or commission as a Child Care Learning Center.

The following fees apply, based upon the facility’s anticipated licensed capacity:

<table>
<thead>
<tr>
<th>FACILITY CAPACITY</th>
<th>FEE AMOUNT</th>
<th>LATE FEE AMOUNT</th>
</tr>
</thead>
<tbody>
<tr>
<td>Capacity fewer than 25 children</td>
<td>$50.00</td>
<td>$25.00</td>
</tr>
<tr>
<td>Capacity 26 to 50 children</td>
<td>$100.00</td>
<td>$50.00</td>
</tr>
<tr>
<td>Capacity 51 to 100 children</td>
<td>$150.00</td>
<td>$75.00</td>
</tr>
<tr>
<td>Capacity 101 to 200 children</td>
<td>$200.00</td>
<td>$100.00</td>
</tr>
<tr>
<td>Capacity 201 or more children</td>
<td>$250.00</td>
<td>$125.00</td>
</tr>
</tbody>
</table>

License fees are non-refundable.

A license will be revoked for failure to pay the license fee.

NOTE: Fees are paid at the time the Permit is issued.
Change of Ownership

<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>Application for Change of Ownership</td>
<td>10</td>
</tr>
<tr>
<td>Change of Ownership Application Instructions</td>
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</tr>
<tr>
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<tr>
<td>Staff Profile</td>
<td>21</td>
</tr>
<tr>
<td>Affidavit for Lawful Presence Verification</td>
<td>22</td>
</tr>
<tr>
<td>Frequently Asked Questions</td>
<td>23</td>
</tr>
</tbody>
</table>
# Application for Change of Ownership

**BRIGHT FROM THE START: GEORGIA DEPARTMENT OF EARLY CARE AND LEARNING**

2 Martin Luther King Jr. Drive, SE; Suite 670-East Tower; Atlanta, GA 30334

www.decal.ga.gov

## LICENSE APPLICATION FOR CHANGE OF OWNERSHIP

**NOTE:** Application to be completed by **NEW** owner.

<table>
<thead>
<tr>
<th>Application for: (Check one)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Child Care Learning Center:</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Application for: (Check one &amp; provide date)</th>
</tr>
</thead>
<tbody>
<tr>
<td>☐ Request to change owner(s)</td>
</tr>
<tr>
<td>☐ Corporation (Legal) name change (Note: check this only if all owners/ legal parties are remaining the same and only the legal name of the entity is changing)</td>
</tr>
<tr>
<td>Effective date of ownership change:</td>
</tr>
<tr>
<td>(date of legal transaction)</td>
</tr>
</tbody>
</table>

| Does the facility have Lottery-funded Georgia’s Pre-K program classroom(s)? |
|-----------------------------|-----------------------------|
| ☐ Yes | ☐ No |

If the center that you have purchased has Lottery-funded Georgia’s Pre-K Program classroom(s) and you wish to request continuation, you must also complete a Pre-K Program Change Request addendum.

<table>
<thead>
<tr>
<th>Does the facility participate in a Nutrition Program?</th>
</tr>
</thead>
<tbody>
<tr>
<td>☐ Yes</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Does the facility participate in CAPS?</th>
</tr>
</thead>
<tbody>
<tr>
<td>☐ Yes</td>
</tr>
</tbody>
</table>

Have you ever been involved in the operation, control or management including, but not limited to being an owner or director of a Child Care Learning Center, Family Child Care Learning Home, or have an Exempt program? Please include your involvement in any corporation, LLC, partnership or other business entity involved in the operation control or management of any such center or exemption, including but not limited to your role as Officer, Member, Organizer, Partner, or Shareholder of such entity.

| ☐ Yes | ☐ No |

<table>
<thead>
<tr>
<th>License or Exemption Number:</th>
<th>Name of Facility:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Address of Facility (include city, state, zip):</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Have any programs owned by you or a person involved in your corporation had a license revocation occur? If so, what state and what year?</th>
</tr>
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<tbody>
<tr>
<td></td>
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</tbody>
</table>
A license/commission to operate a Child Care Learning Center is issued to the governing body of the center, meaning the person or entity (corporation, LLC, partnership) that owns the center.

<table>
<thead>
<tr>
<th>Type of Ownership (Check one)</th>
<th>Tax Status (Check one)</th>
</tr>
</thead>
<tbody>
<tr>
<td>□ Individual</td>
<td>□ Profit</td>
</tr>
<tr>
<td>□ General or Limited</td>
<td>□ Nonprofit</td>
</tr>
<tr>
<td>Partnership</td>
<td></td>
</tr>
<tr>
<td>Unincorporated Association</td>
<td></td>
</tr>
</tbody>
</table>

SSN (individual owner) or EIN # (corporation/LLC):

Corporation/LLC: Submit a copy of corporation papers, i.e. Certificate of Inc./Org., Articles & By-Laws

**Applicant/ New Owner Information:**

<table>
<thead>
<tr>
<th>Name of Ownership/Individual/Corp./LLC/Partnership/Government/Unincorporated Association</th>
<th>Name of Center</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Mailing Address**

<table>
<thead>
<tr>
<th>Site Address</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

**City/Zip/County**

<table>
<thead>
<tr>
<th>County</th>
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<tbody>
<tr>
<td></td>
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</tbody>
</table>

**Name of Contact Person**

<table>
<thead>
<tr>
<th>Facility Telephone No.</th>
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</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

**Title**

<table>
<thead>
<tr>
<th>Daytime Telephone Number</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>E-mail Address</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

**Complete the following:**

Is facility currently operating? □ Yes □ No (NOTE: If no, operation must resume within 30 days of previous owner suspending operation in order to be considered a change of ownership.)

Provide former license number and former program name:

**Former License #**

**Former Program Name**

If no, list date facility stopped operating:
<table>
<thead>
<tr>
<th>Person Legally Responsible and Official Address for All Communications:</th>
<th>Facility's Agent for Service:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name</td>
<td>Name</td>
</tr>
<tr>
<td>Street or P.O. Box</td>
<td>Street or P.O. Box</td>
</tr>
<tr>
<td>City/State/Zip</td>
<td>City/State/Zip</td>
</tr>
<tr>
<td>Telephone No.</td>
<td>Email Address</td>
</tr>
<tr>
<td>Email Address</td>
<td></td>
</tr>
</tbody>
</table>

**Exemptions**
Do you own any exempt childcare facilities in the State of Georgia?  □ Yes □ No
If yes, list the official name and address of the exempted program.

<table>
<thead>
<tr>
<th>Name</th>
<th>Landlord's Name</th>
</tr>
</thead>
<tbody>
<tr>
<td>Site Address</td>
<td>Landlord's Mailing Address</td>
</tr>
</tbody>
</table>

**Schedule:**
Months of Operation:
Days of Operation:
Hours of Operation:

**Age Range of Children to be Served:**
From:
To:

**Check all services that apply:**
- ☐ Infants & Toddlers (Ages 0-2)  ☐ School-age summer care  ☐ Special Needs  ☐ CACFP
- ☐ Preschoolers (ages 3-4)  ☐ Evening Care  ☐ Transportation-field trips  ☐ Drop-in care
- ☐ School Age (Ages 5+)  ☐ Night Care  ☐ Transportation-school  ☐ Accepts Subsidies
- ☐ School Age Only  ☐ Mildly Ill Care  ☐ Transportation-home  ☐ Other

**Facility/ Site Director:**
Name:
Date of Birth:
SSN:
Criminal Background Check results are attached?  □ Yes □ No
Criminal Background Check Application/Fingerprints submitted on (Date)
The following items must be submitted with this application. Please check that all are attached:

Upon receipt and review of a completed application, a child care consultant will conduct an inspection of the center. This inspection includes an assessment of compliance with Rules and Regulations for Child Care Learning Centers to include an evaluation of the physical plant, staffing, records, and services.

- Documentation of Transfer of Ownership (signed lease agreement and or purchase agreement)
- Completed/Notarized Buyer/Seller Agreement
- Secretary of State Information and Articles of Incorporation
- Completed Citizenship Affidavit and Verifiable Identification
- Staff Profile Sheet
- Proof of Ownership or Signed Lease Agreement for Facility
- Operation Plan and Checklist

A. I/We will ensure that the center adheres to all licensing requirements.
B. I/We understand that a license to operate a center is not transferable to another individual or location.
C. I/We understand that the issuance of a new license may be denied for failure to comply with licensing requirements.
D. I/We understand that the center is subject to unannounced inspections by Bright from the Start: Georgia Department of Early Care and Learning (DECAL) at any time during operating hours.
E. I/We assume responsibility for conducting the affairs of the center herein described and for meeting all applicable regulations.
F. I/We understand that remodeling or modification to the center requires a plan review by Bright from the Start: Georgia Department of Early Care and Learning (DECAL) before new construction, alterations, or additions can begin.
G. I/We understand that rule violations which are determined by Bright from the Start: Georgia Department of Early Care and Learning (DECAL) to endanger the health and/or safety of children in care, or failure to maintain compliance with rules and regulations may result in adverse actions by DECAL.
H. I/We are responsible for compliance with the rules and regulations as set forth in the rules and regulations for Child Care Learning Centers. I/We understand that rule violations which are determined by Bright From the Start: Georgia Department of Early Care and Learning to endanger the health and/or safety of children in care, or failure to maintain compliance with rules and regulations may subject me/us to civil penalties of up to $500 per violation per day.
I. I/We understand that, if incorporated, I am required to retain an attorney to represent the corporation in any appeal or other litigation scheduled to be heard before the Office of State Administrative Hearings or any other judicial body.
   "(O)nly a licensed attorney is authorized to represent a corporation in a proceeding in a court of record, including any proceeding that may be transferred to a court of record from a court not of record." Eckles d/b/a Atlanta Technology Group v. Atlanta Technology Group, Inc., 287 GA. 801 (1977). See also Office of State Administrative Hearings Administrative Rules of Procedure, Ga. Comp. R & Regs. 616-1-2-.34(1).
J. I/We understand that failure to comply with the regulations may result in denial or revocation of the license to operate the facility.
K. I/We declare there have been no license/registration revocation proceedings initiated against me/us within one year of the date of this application.

NOTE: For facilities serving CAPS recipients, please note that scholarships are not transferrable to the new facility. In the case of ownership changes, new scholarships must be issued for the new owner to claim reimbursement. Please reference CAPS policy 10.4.1.2. Contact CAPS Support at 1-833-4GA-CAPS or 1-833-442-2277 for questions.
False or misleading statements made on any part of the application shall void this application and lead to the denial or revocation of a license issued on the basis thereof. I/We hereby apply for a license. I/We understand and agree to the above statements and agree to submit a copy of the bill of sale.

Signature of Name of Corporation/LLC/Individual, Government, Unincorporated Association, General Partnership, Limited Partnership

Signature of Director (if different)

Signature of Name of Corporation/LLC/Individual, Government, Unincorporated Association, General Partnership, Limited Partnership

Date
Change of Ownership Application Instructions

1. **Child Care Learning Center**: A facility providing group care, for pay, without transfer of legal custody, for seven (7) or more children.

2. **License or Commission**: A certificate conferring authority to perform various acts or duties. You are required to complete the same process whether you are seeking a license or commission to operate.

3. **Applicant Information**: The applicant information defines the person or entity that has legal ownership of the business. (This information will be the same for owner/applicant on page 1 and page 3.)

4. **Name of Center**: Write the name of the center exactly as you want it to appear on the license/commission. Show the complete address for where the center will be located including the county and zip code. Effective 5/7/09 all centers are required to furnish DECAL with e-mail contact information so that this agency may contact the center and send information via e-mail. Notices and routine information from DECAL will only be sent via email to your program. Therefore it is important to ensure the email address remains current in the DECAL KOALA database. Please be sure to list your e-mail address accurately in this section. (Rule 591-1-1-.16(g))

5. **Type of Ownership**: Check the one that applies to your center. Remember to attach supporting documentation depending on the type of ownership.
   - **Individual**: Complete this section if one person owns all the assets of the business and is solely liable for all debts of the business.
   - **Partnership**: Complete this section if two or more people own the business. A partnership is a voluntary contract between two or more persons to carry on as co-owners, a business for profit.
   - **Corporation/LLC**: Complete this section if a corporation owns the business. The name of the corporation will be shown as applicant. The mailing address is the same as the principal mailing address of the corporation. This information must be consistent with documents filed with the Secretary of State’s Office. The Certificate of Registration, Articles of Incorporation, and the By-Laws must also be attached to the application.
   - **Limited Partnership**: Complete this section if a limited partnership or Limited Liability Company owns the business. The name of the LLC/LLP will be shown as applicant. The Articles of Organization must be attached to the application. The information must be consistent with documents filed with the Secretary of State’s Office.

6. **Person Legally Responsible and Official Address for all Communication**: This information is the same for an individual owner as shown on Page 1 of Application Part A under Applicant Information. For corporations or board-sponsored facilities, this would be the Chief Executive Officer (CEO) or Board Chairman.
7. **Name and Address of Agent for Service for Facility**: This section is to be completed only for corporations, Limited Liability Partnerships, and Limited Liability Companies. This information, such as name and address, must be consistent with documents filed with the Secretary of State’s Office.

8. **Miscellaneous Information**: (A) Provide specific information about any exempt programs operated by you in Georgia. (B) If you do not own the building where the business is to be located provide the name and complete address of the landlord. You are also required to attach a copy of the signed *Lease Agreement* with the application. If you own the building, please provide proof of ownership such as a tax bill or tax assessment. (C) Be specific on the proposed months of operation (January-December), and proposed days of operation (Monday-Friday), and proposed hours of operation (6:30 a.m. - 7:00 p.m.). (D) Be specific and show the actual ages of the children you propose to serve (6 weeks-12 years). (E) Check all the services you propose to provide. Attach the required copies of the Floor Plan and Site Plan.

9. **Owner(s) of Center**: This information should be consistent with Page 1, Applicant Information. If owned by a corporation, the corporation name will go on Page 3 and the CEO will sign below and should be a signature-not a printed name.

10. **Director Information**: This information should be completed on the Director.

11. **Change of Ownership Tutorial**: This can be viewed at the following link: [http://www.decal.ga.gov/documents/attachments/ASU-CoOWebinarPresentation.pdf](http://www.decal.ga.gov/documents/attachments/ASU-CoOWebinarPresentation.pdf)
## Change Of Ownership Application Checklist

**Applicant’s Name:** ___________________________________________

**Facility Name:** ___________________________________________  **County:** ___________

Include each of the following items in your application packet: (Submit the completed checklist with application materials)

<table>
<thead>
<tr>
<th>Item</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Application for Change of Ownership</td>
<td></td>
</tr>
<tr>
<td>Completed Buyer/Seller Agreement form (must be notarized)</td>
<td></td>
</tr>
<tr>
<td>Citizenship Affidavit &amp; Verifiable Identification (copy of front and back)</td>
<td></td>
</tr>
<tr>
<td>Copy of Purchase Agreement or copy of Lease Agreement</td>
<td></td>
</tr>
<tr>
<td>Signed copy of Statement of Understanding Document</td>
<td></td>
</tr>
<tr>
<td>Signed copy of Disclosure Form</td>
<td></td>
</tr>
<tr>
<td>Floor Plan</td>
<td></td>
</tr>
<tr>
<td>Site Plan</td>
<td></td>
</tr>
<tr>
<td>Operation Plans and Operation Plan Checklist</td>
<td></td>
</tr>
<tr>
<td>Fingerprint Results letter for Director and staff</td>
<td></td>
</tr>
<tr>
<td>Fingerprint Results- 100% of staff must have a comprehensive satisfactory criminal records check through Bright from the Start.</td>
<td></td>
</tr>
<tr>
<td>*NOTE: ALL staff must have a comprehensive satisfactory criminal records check on hand prior to the Initial Licensing Study (ILS)</td>
<td></td>
</tr>
<tr>
<td>Completed Staff Profile Sheet</td>
<td></td>
</tr>
<tr>
<td>Copy of Director Credentials (TCC, CDA, AA, BA, Diploma Transcripts, etc.)</td>
<td></td>
</tr>
<tr>
<td>Copy of Lead Teacher Credentials (TCC, CDA, AA, BA, Diploma Transcripts, etc.)</td>
<td></td>
</tr>
<tr>
<td>Required Corporation/LLC documentation (if applicable)</td>
<td></td>
</tr>
<tr>
<td>Documentation of Food Service Permit and Caterer’s Permit (if using an outside source to cater your meals) (If applicable)</td>
<td></td>
</tr>
<tr>
<td>Completed vehicle inspection (DECAL sample on website) <em>This is only required if the facility will offer transportation services.</em></td>
<td></td>
</tr>
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</table>

**Required Approvals:**

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Description</th>
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<tbody>
<tr>
<td>Zoning approval</td>
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</tr>
<tr>
<td>Fire Marshal approval (CO) (Must be completed within 12 months of the ownership change)</td>
<td></td>
</tr>
<tr>
<td>Building Inspector approval CO</td>
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<tr>
<td>Confirmation of city/county water/sewer (copy of bill)</td>
<td></td>
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<tr>
<td>Confirmation of septic or well (Environmental Health) (only if applicable)</td>
<td></td>
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</tbody>
</table>
Buyer/Seller Agreement

This form must be completed by the seller and the buyer and must be notarized.

I, ____________________________, owner of ________________, located at ____________________________
(Name of Current Owner) (Name of Child Care Business) (Street Address, City, State, Zip Code)

agree to sell

______________________________ to ____________________________
(Name of Child Care Facility and ID#) (Name of Buyer)

The owner agrees that the date of sale/purchase will be on or after ____________________________ (Date)

________________________________________________________
Signature of Current Owner

Sworn to and subscribed before me this ________________________ day of ________________________, 20__.

________________________________________________________
Notary Public

My commission expires ________________________, 20__.

I, ____________________________, agree to purchase ____________________________
(Name of Buyer) (Name of Child Care Facility and ID#)

located at ____________________________
(Street Address, City, State, Zip Code)

The buyer agrees that the date of sale/purchase will be on or after ____________________________ (Date)

________________________________________________________
Signature of Buyer

Sworn to and subscribed before me this ________________________ day of ________________________, 20__.

________________________________________________________
Notary Public

My commission expires ________________________, 20__.
Statement of Understanding

Facility Name: __________________________
Owner/Director Name: __________________________
Date: __________________________

________ Reviewed licensing law and procedures
________ Reviewed fingerprinting and employee criminal records check requirements

All child care learning centers must comply with all state rules and regulations and all appropriate local ordinances. If there is a conflict between state requirements and local requirements, the stricter requirements will apply.

I understand that all child care learning centers must by law be licensed. I further understand that all rules and regulations governing child care learning centers must be met in order for the center to be licensed.

I further understand that rules not previously met before my ownership may be my responsibility unless determined not applicable or waived at my request.

I understand that I may not operate a child care learning center until after the program has been issued permission to operate.
Disclosure Form

O.C.G.A. §22-1A-30 prohibits persons who have committed certain crimes from living in or being employed in family child care learning homes, or child care learning centers. The crimes are:

- any felony (in the state of Georgia, or any other state);
- all sexual offenses found in chapter six (6) of title 16;
- certain misdemeanors including:
  - A) simple battery, when the victim is a minor;
  - B) contributing to the delinquency of a minor;
- criminal attempt to commit any of the above listed crimes in accordance with O.C.G.A. §16-4-1.

A person must have been convicted of or entered a plea of guilty or nolo contendere to or have been adjudicated for any of the above crimes. A person that has been arrested for any of the above crimes may not live or be employed in family child care learning homes, or child care learning centers until such time a court of proper jurisdiction dismisses the charges or a not guilty verdict is rendered.

O.C.G.A. §16-12-1.1(b)(c) makes it a misdemeanor for any operator of a facility to knowingly have any person reside at, be domiciled at, or be employed at any such facility if such person has been convicted of or has entered a plea of guilty or nolo contendere to or has been adjudicated delinquent for certain offenses.

The Department may deny or revoke the license, commission, or registration of any facility in violation of these requirements.

To my knowledge, no person lives at or is employed at the child care facility listed below who has been convicted of, has entered a plea of guilty or nolo contendere to, or has been adjudicated delinquent for any of the above listed crimes.

__________________________  ______________________
Director’s Signature         Date

__________________________
Director’s Name (print legibly)

__________________________
Name of Facility (print legibly)

__________________________
Address of Facility

__________________________
City, State, and Zip Code
# Staff Profile

Name Of Center ________________________________________________________________

Address ____________________________________________________________ City & Zip __________________________ County __________________________

Telephone Number __________________________ Director __________________________ Total # Staff ________________

Days/Hours Of Operation _______________________________________________________

<table>
<thead>
<tr>
<th>Personal Data</th>
<th>Information on file?</th>
<th>Qualifications</th>
<th>Training/Driver Info</th>
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Affidavit for Lawful Presence Verification

Bright from the Start: Georgia Department of Early Care and Learning
2 Martin Luther King Jr. Drive SE, 754 East Tower, Atlanta, Georgia 30334

O.C.G.A. § 50-36-1(c)(2) Affidavit For Lawful Presence Verification

Facility Name

Facility Address

Facility Owner

By completing this affidavit under oath, as an applicant for the license or registration listed below, as referenced in O.C.G.A. Sec. 50-36-1, I _____________ [printed name of person] verify one of the following with respect to my application for a public benefit from Bright from the Start: Georgia Department of Early Care and Learning, as referenced in O.C.G.A. Sec. 50-36-1:

1) ________ I am a United States citizen 18 years of age or older. Submit a legible front and back copy of your current secure and verifiable document(s) such as a driver’s license, passport, military ID or other document as listed below.

2) ________ I am a legal permanent resident of the United States, 18 years of age or older. Submit a legible front and back copy of your current secure and verifiable document(s) such as a driver’s license, passport, military ID or other document as listed below.

3) ________ I am a qualified alien or non-immigrant under the Federal Immigration and Nationality Act, 18 years of age or older, with an alien number issued by the Department of Homeland Security or other federal immigration agency. Submit a legible front and back copy of secure and verifiable document from the list below that includes your alien number.

My alien number issued by the Department of Homeland Security or other federal immigration agency is: ____________________________ (Required)

I also verify I have provided at least one secure and verifiable document, as required by O.C.G.A. Sec. 50-36-1(a)(1), with this affidavit. The secure and verifiable document I have provided with this affidavit is: ____________________________ (Identify the document, such as driver’s license, Temporary Resident Card, passport, etc.).

In providing the above information under oath, I understand that any person who knowingly and willfully makes a false, fictitious, or fraudulent statement or representation in an affidavit shall be guilty of a violation of Georgia law, O.C.G.A. Sec. 16-15-10-20, and face criminal penalties as allowed by such criminal statutes.

Completed in ________________ (city), ________________ (state).

Signature of Applicant __________________________

Printed Name of Applicant __________________________

Mailing Address: __________________________

Street or P.O. Box __________________________

City __________________________

State __________________________

Zip __________________________

Contact Phone Number __________________________

E-mail Address __________________________

SUBSCRIBED AND SWEORN BEFORE ME ON THIS THE ____ DAY OF ___________, 20____

__________________________

My Commission Expires: __________________________

NOTARY PUBLIC __________________________
Frequently Asked Questions

1. Where can I find an Affidavit for Lawful Presence Verification Form?
We have provided the appropriate form on page 1 of this document; you may also obtain a copy on the Bright from the Start website at www.decal.ga.gov. If you need assistance, you may call 404-463-4092 or 404-232-1325.

2. Why do I have to complete this requirement?
Effective January 1, 2012, the Illegal Immigration Reform and Enforcement Act of 2011 requires that applicants for a license, registration, or renewal provide proof of lawful presence in the United States before receiving any public benefit. The law further defines the issuance of a license or registration as a public benefit. Therefore, in compliance with the law, Bright from the Start requires that you submit a completed and notarized affidavit and a copy of a secure and verifiable document.

3. What qualifies as a “secure and verifiable document”?
Only the documents approved by the Office of the Attorney General of Georgia are acceptable for processing. The most common copies of “secure and verifiable documents” are:
- U.S. issued passport or passport card
- U.S. military ID
- U.S. issued driver’s license

4. Am I required to send an original document of one of the “secure and verifiable documents” on the Attorney General’s list?
No, a photocopy of the document (front and back, if there is anything on the back of the document) is acceptable.

5. Where do I send the two documents required for Verification of Lawful Presence?
The notarized affidavit and secure and verifiable document must be faxed to 404-656-0351, scanned and e-mailed to ccsaffidavit@decal.ga.gov (preferred) or mailed to:
Bright from the Start
Georgia Department of Early Care and Learning
Attention: Affidavits
2 Martin Luther King Jr. Drive SE, 670 East Tower
Atlanta, Georgia 30334
Please return only the one page affidavit form and the copy of your secure and verifiable document and not the FAQs or the list of acceptable documents. E-mail or fax is preferred.

6. What should I do if the owner listed on the affidavit form is incorrect?
The ownership information printed on the affidavit is the information we have in the records for this facility. If this information is incorrect, please contact your licensing consultant immediately.

7. Can the Verification of Lawful Presence form be notarized by a notary outside of Georgia?
Yes. If the form shows “Georgia” pre-printed in the section as the state in which the form was executed, mark through the pre-printed state name and list the appropriate state.

8. Can the Verification of Lawful Presence form be submitted with the notary’s stamp or seal or is one or the other required?
Either the stamp or the seal may be used to notarize the affidavit form.
Comprehensive Background Checks

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Background Check Guidelines

Who must have a comprehensive background check determination?

In order to obtain a valid license the director and all employees must have had a satisfactory comprehensive fingerprint criminal record check clearance from DECAL within the preceding twelve months. The director and all employees must complete the criminal records check and fingerprint processing through DECAL. Find instructions at http://www.decal.ga.gov/CCS/CriminalRecordsCheck.aspx

Georgia law (O.C.G.A. Title 20-1A-30 et seq.) requires comprehensive satisfactory criminal records checks on directors and employees of all child care facilities as a condition of licensure. No person with unsatisfactory results may become an employee or director of a child care facility.

Georgia law also requires that a criminal records check clearance for an employee or director be on file before the person begins employment. This clearance must be on file for the director before the center can be initially licensed.

Director is defined as the chief administrative or executive officer of a facility. This person is responsible for the daily on-premises supervision, operation and maintenance of the facility. Directors of licensed facilities who become directors of another licensed facility must be re-fingerprinted if it has been more than twelve (12) months since their last satisfactory fingerprint check result. If the fingerprint records check determination was processed less than twelve (12) months earlier, a copy of current results must be submitted for verification.

Employee is defined as any person other than a director, employed by a facility with or without compensation to perform at any of the facilities any duties which involve personal contact between that person and any child being cared for at the facility and also includes any adult person who resides at the facility or who, with or without compensation, performs duties for the facility which involves personal contact between that person and any child being cared for by the facility.
Floor Plans

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Mixed-Age Groups for Child Care Learning Centers .............................................................................30
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Storage Space/Bathrooms .......................................................................................................................32
Kitchen/Laundry/Building Safety and Repair .........................................................................................34
Built in Diaper Change Table (D/C) size = 8’ x 2’
Hot and Cold Water at Diaper Change Tables.
Ill children will stay in office.
Six Double lights (fluorescent) in each room.
Gas heat/cooling units located outside building.
Hot Water Heater (gas) located in laundry room.
Building on ground level.
Floor: Carpet/Vinyl.
Walls: Painted Sheetrock
Ceiling: Acoustical Tile

Windows
All Windows are 5’ x 2’6” = 13 sq. ft.
All Windows 3’8” from floor.
50% of Windows screened & operable (S/) portion
Blinds at Windows to dim light during nap.

Kitchen
Electric Stove, domestic.
Three-Compartment Sink.
One Dishwasher.
Formica Counters.
35’ Linear foot of counters with Storage Area.
Wall hung cupboards over all counters for food storage, dishes and glasses.
Kitchen light shielded with glass protector.
Windows

Window Space Requirements for Centers With No Central Heat & Air
Note: This Only Applies If You Do Not Have A Central Unit or Air Conditioner Units.

The window space in each child care room is determined in the following way:

• When central heat and air is not provided total window space per room must be 5% of the useable floor space.
• Multiply useable floor space by .05 to determine required window space.
• 50% of required window space must be screened and operable.
• To determine amount of space of the window multiply the length times (X) the width of the window to obtain the total square footage.

Window Space Requirements for Centers With No Vent Fans Over Diapering Surfaces

If no exhaust/ventilation fan is over the diapering area, operable window space must equal 2.5% of the useable floor space.
  • Example: A room in the center has 1,259 square feet of usable floor space and will house diapered children.

  • 1,259 X 2.5%=31 square feet of screened and operable window space needed.
  • To measure screened and operable window space:
    • Open the window to the maximum opening position.
    • Measure the screened open area.
    • (Example 2’2” X 2’0”=4.3(round down) = 4 square feet of screened and operable space for this window.

  • If this room needs 31 square feet of screened and operable space, then you would need 8 windows screened and operable.
  • Screens should fit tightly to prevent insects from entering the building.
### Staff:Child Ratios

**Child Care Learning Center**

<table>
<thead>
<tr>
<th>AGE</th>
<th># ADULTS</th>
<th># CHILDREN</th>
</tr>
</thead>
<tbody>
<tr>
<td>Birth to 18 months</td>
<td>1</td>
<td>6</td>
</tr>
<tr>
<td>(not walking)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>One (1) year olds</td>
<td>1</td>
<td>8</td>
</tr>
<tr>
<td>Two (2) year olds</td>
<td>1</td>
<td>10</td>
</tr>
<tr>
<td>Three (3) year olds</td>
<td>1</td>
<td>15</td>
</tr>
<tr>
<td>Four (4) year olds</td>
<td>1</td>
<td>18</td>
</tr>
<tr>
<td>Five (5) year olds</td>
<td>1</td>
<td>20</td>
</tr>
<tr>
<td>Six (6) year olds and older</td>
<td>1</td>
<td>25</td>
</tr>
</tbody>
</table>
Mixed-Age Groups

Mixed-Age Groups for Child Care Learning Centers

In child care learning centers licensed for nineteen (19) or more children, children may be combined in mixed-age groups provided that infants and children younger than three (3) years old are not grouped with children ages three (3) and older, except as set forth below. In mixed-age groups, the required staff:child ratios shall be based on the ages of the youngest children in the group if more than twenty percent (20%) of the children in the mixed-age group belongs to younger age grouping(s).

During the first hour of the center’s operation and the last hour of operation, infants and children younger than three (3) years old may be grouped with older children so long as staff:child ratios and group size are met, based upon the age of the youngest child present in the group.

Children who turn three (3) years old during the regular school year may remain grouped with other two (2)-year-olds for the remainder of the school year, provided that the continued placement in the younger group is with the written agreement of the older child’s parents and is developmentally appropriate for the child.

Mixed-Age Groups for Child Care Centers Licensed for 7-18 Children

When children of different ages—including children less than (three) 3 years old—are housed together in one room, the ratio shall be based on the age of the youngest child present.

When children of different ages who are at least three (3) years old are housed together in one room, the ratio shall be based on the age of the majority of the children in the group.
Diaper Changing Areas

The diapering station and diaper changing tables should be positioned facing the classroom so that staff members can see the whole classroom as they diaper to allow for adequate supervision of the children in the classroom. If diapering tables do not face the room a written supervision plan for extra staff will be required. The diaper changing sink should be within arm’s length of the diaper changing table.

The rules require the diaper changing surface must:

- be located in child care rooms.
- be non-porous and easily cleaned.
- be large enough to contain the child being diapered.
- have guards (straps) or rails on sides to protect the child from falling.

The diaper changing area must:

- provide for caregivers to wash their hands with liquid soap and warm running water immediately before and after each diaper change.
- have lavatories with hot and cold running water adjacent to the diapering area. This means that the sink should be within arm’s reach of the staff member who is attending the child on the diapering surface.
- have liquid soap and paper towels, single-use cloths, storage for disinfectants.
- have a closed container for diaper disposal. This container must latch or be inaccessible to children who are crawling or pulling up in this room.
- have ventilation. This can be provided by a functioning exhaust fan or by the required amount of operable/screened windows.
## Storage Space/Bathrooms

### Children’s Storage

- Play equipment requiring little adult supervision must be on low open shelves in classroom.

- Personal storage for coats, personal belongings, etc., must be accessible and within reach of children ages one (1) and older. Storage should also be large enough to accommodate the size of the child’s belongings.

- Diaper bags must be out of reach of children and should be accessible to diaper changing area.

- Sleeping equipment such as mats and cots are allowed in the classroom, safe from children’s access.

- Allow maximum use of play space.

### Teachers’ Supplies

- Must be kept out of reach of children. (Examples: Teachers’ purses, White-out, large teacher/adult scissors, staplers.)

### Hazardous Items

- First aid supplies, cleaning tools, supplies and medicines must be kept out of reach of children in locked area, such as cabinets and closets.

### Bathrooms

- Bathrooms must be fully enclosed.

- Bathrooms must have proper ventilation either through a screened and operable window or a functioning exhaust fan.

- If you install any toilet or lavatory of adult height that would be used by children in any room of your facility, you must provide steps or a platform.

- Refer to your copy of the rules for the required sink/toilet ratios. Note that all applications received after 12/22/09 are required to meet revised Rule 591-1-1-.06(1) for the correct number of toilets. Two (2) potty chairs are no longer allowed as a substitute for an additional toilet.

- Your building should be designed to allow for supervision of children during toileting. Bathrooms must be in or adjacent to classrooms for two (2)-year-old children. This means a shared wall or immediately across from the classroom door with a direct line of sight. For children ages three (3) and older, bathrooms
must be no more than forty (40) feet from the classroom door and a written supervision plan must be on file.

- Toilet facilities for children ages four (4) and older must be screened for privacy with partitions or dividers, for example, between toilets.
- Supplies should be in children’s reach; tissue should be in the child’s reach when seated on the toilet; liquid soap and paper towels must be in the child’s reach at the sink. The use of a stool or platform is permissible for the child to reach the sink and all supplies.
Kitchen/Laundry/Building Safety and Repair

Kitchen

- If you plan to use non-disposable eating and drinking utensils, kitchens must have proper dishwashing facilities.
- This includes either a three (3)-compartment sink or a two (2)- compartment sink with a dishwasher that meets sanitizing criteria.
- An approved dishwasher has a sani-cycle or maintains rinse water of 150 degrees.
- If you have difficulty locating a suitable dishwasher, you may consider installing a booster heater, a separate hot water heater, or using an approved sanitizing agent.
- Refrigerator must be forty (40) degrees or lower and the freezer must be zero (0) degrees.
- Areas for food preparation must be non-porous, easily cleaned and have no unsealed cracks or seams.
- Areas for storage of food, eating utensils, and cookware must be provided.
- If your facility plans to have catered food, the caterer must have a Food Service Permit and a current Inspection Report and provide you with current copies of both documents to maintain in your records.

Laundry

- Must be separate from child care areas.
- Must contain covered storage for soiled linens.

Note: Children cannot pass through kitchens or laundry areas to reach other parts of the facility or playground.

Building Safety and Repair

- Walls, floors, and ceilings should not have holes, cracks, tears, chipping paint, peeling wallpaper, or sharp edges.
- Carpeting and vinyl must be pulled tightly and the seams secured to avoid any hazards, such as tripping.
- Screens, guards, or other types of barriers must protect any type of heating or cooling equipment accessible to children. Screens should not get hot to the touch.
- It is important to develop a system of maintaining the building in good repair.
Site Requirements

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Ground Covering .................................................................37
Shade ...............................................................................37
Fencing ..............................................................................38
Play Equipment and Surfaces ...............................................39
Playground Maintenance Checklist .......................................40
Sample Site Plan

ABC Learning Center
Children’s Drive
Jones, GA 30907

Sample Site Plan

PLAYGROUND

- Paved parking
  - area is 12' x 10'

Swimming pool is surrounded by a 6' high chain link fence.

Playground surface is grass and sand.

Tee = Trees for shade

Play Area

Air Conditioner Unit

""" = Chain Link Fence

Swings, climbers, slide, enclosed with cement.

Sand used under all equipment
Ground Covering

- Choose from a variety of surfaces such as grass, wood chips, sand, etc.
- Hard surfaces, such as pavement or gravel cannot exceed ¼ of the total outside surface. Therefore, your playground may not be constructed over concrete or asphalt without first removing this hard surface.

- Play area must not contain any hazards, such as, but not limited to:
  - Uneven turf
  - Holes
  - Exposed tree roots
  - Sharp rocks
  - Briars/thorny plants
  - Mushrooms
  - Active red ant beds

Shade

- Shade may be provided by:
  - Trees
  - Equipment with shade coverings
  - Man-made structures (i.e. gazebos/canopies)
- Shade provided by your building cannot be the only source.
- Shade must be provided within each individually fenced area.
Fencing

- Provide at least a four (4)-foot-high fence around the play area.
- Material must be non-hazardous without any protruding metal or wires.
- The following are approved fencing materials, if they are at least four (4) feet tall:
  - Chain Link with closed, bent wire—no sharp points exposed along the top
  - Wooden with no gaps between boards, no splinters
  - PVC/plastic picket fence with less than 3½ inch-gaps between pickets
  - Wrought Iron with less than 3½ inch-gaps between rails
- Materials not approved:
  - Barbed wire
  - Chicken wire
  - Farm wire (rectangular openings)
  - Lattice (plastic or wood)
- A fence must be installed to prevent a child from becoming injured or from leaving the play area by any other means than through an approved access route. The fence must be secured at the top and meet the ground and be secured at its base. Securing the base would prevent the entrance of rodents, etc.
- Any bolt used for installation should be turned toward the outside of the fence. If pointing inside, the bolts must be cut down to no more than two threads, then filed smooth or capped.
- All screws around the entrance gate or divider fences can present a problem on either side.
- Any barrier other than fencing must be approved by DECAL.
- Location of gas meter and/or heating and cooling equipment must be marked on site plan.
- The type of barrier/fence used to prevent children from coming in contact with this equipment must be noted on the site plan.

Note: If barriers such as landscape timbers, PVC perimeters, are added to the outdoor area to contain loose fill materials like sand or mulch, be sure that these barriers are not installed close to the fence line. The height of the barrier would reduce the overall fence height possibly causing it to be less than the minimum height of four (4) feet.
Play Equipment and Surfaces

- Provide enough outdoor play equipment that is age appropriate to offer a variety of activities.
- Equipment must be in safe operating condition with no rusted, broken, or missing parts and no protruding nails or screws.
- Tires used for play must have holes bored in them so water drains out.
- Specific requirements for swings and climbing equipment include:
  - Must be anchored securely in the ground.
  - Chain hooks on swings must be clamped tight.
  - Slides should be installed in shaded areas.
- Require a resilient or bouncy surface such as wood chips, sand, mulch, or pea gravel underneath and in the fall zone.
- Height of the equipment determines the depth of the resilient surface.
- Six (6) inches of resilient surface are required underneath and within the fall zone of equipment five feet or higher.
- If less than five feet, the required depth of the resilient surface is three inches.
- Borders may be needed to maintain loose fill materials at the proper depth.
- Any border, such as timbers or PVC pipes, built to contain the resilient surface must be installed outside of the fall zone.
- If synthetic material is used, contact the Applicant Services Unit for approval of the material prior to installation. You will be required to provide testing specifications on the product you plan to install.
- It is important to develop a system to check the playground equipment and measure resilient surface regularly to assure that both are maintained adequately.
- Safety or encroachment zones of at least six (6) feet should also be created between pieces of equipment as well as between the equipment and fencing.

For information concerning fall zones and resilient surfacing, visit the Consumer Product Safety Commission Website at www.cpsc.gov/PageFiles/122149/325.pdf.
## Playground Maintenance Checklist

**Instructions:** Check the entire playground at least once each week. Train all personnel to be alert to playground hazards, and report them promptly. Avoid the use of hazardous equipment until repaired.

<table>
<thead>
<tr>
<th>Date Checked</th>
<th>Repair or Removal Needed</th>
<th>Date Repaired / Removed</th>
</tr>
</thead>
</table>

1. Are there at least 6–10 inches of deep resilient ground cover (sand, pea, gravel, or shredded wood) under all swings, merry-go-rounds, slides, and climbing equipment? Is the resilient surface compacted or out of place?

2. Is the entire outside play area free of hazards?
   Such as:
   - Poisonous plants
   - Glass
   - Trip hazards
   - Uneven turf
   - Exposed bricks/cinder blocks
   - Exposed concrete edges
   - Open grating
   - Slippery areas
   - Dead tree limbs
   - Briars/thorny plants
   - Exposed tree roots/rocks
   - Accessible sharp fence wire
   - Accessible woods
   - Inadequate clearance between equip.
   - Poor drainage areas
   - Ants/Bees/Spiders

3. Are concrete supports of equipment sticking above the ground? Is equipment anchored securely?

4. Are there outdoor equipment hazards such as:
   - Exposed nails/screws/nuts/bolts/pipes
   - Splintered/deteriorated wood
   - Open/deformed “S” or “C” hooks/rings/links, etc.
   - Crush/pinch point
   - Areas of entrapment
   - Unprotected protrusions
   - Broken/missing steps/rungs/handrails/handles/slides/ladders
   - Sharp edges
   - Broken seats/parts/equipment
   - Obstructions on slides
   - Equipment off track/unsecured to fulcrum
   - Frayed/broken ropes
   - Chipped/peeling paint
   - Worn swing hangers/chains
   - Broken supports/anchors
   - Bars/rungs/handholds stay in place when grasped; don’t wobble/turn
5. Are there openings that could trap a child’s head? (Gaps should be less than 3½ inches or greater than 9 inches.)

6. Are timbers rotting, splitting, termite infested, excessively worn, or splintering?

7. Are portable toys such as tricycles and wagons in good repair? (No sharp edges, no cracked plastic, etc.)

8. Are there protrusions on any equipment that can catch clothing?

9. Are there crush points or shearing actions such as hinges of seesaws and undercarriages of revolving equipment that children could reach or touch?

10. Are swing seats excessively heavy? Do they have protruding parts that could pierce or catch part of a child’s clothing?

11. Is the fence at least 4 feet high and in good repair? Can gates be secured? Any 4 inch gaps a child could squeeze through? Any sharp wires that could cut or scratch a child?

12. Are there electrical hazards on the playground such as accessible air conditioners, switch boxes, or power lines?

13. Do trees, grass, and shrubs need care/trimming?

Signature of Person Conducting the Playground Check: ________________________________
# Plans, Policies, Procedures & Checklists

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Definitions

Your Operation Plan covers the day-to-day operation of your center. The Operation Plan Checklist has been created from the rules and regulations for your use as a guide in the development of the Operation Plan for your facility. Sample forms have also been created for your use based on the rules and regulations and are included in the Resource Section of this Applicant’s Guide. Items that are included in your operation plan are:

**Personnel Policies/Handbook:** This is the handbook given to your staff and should cover all information in your Policies and Procedures Handbook as well as all requirements for your staff.

**Policies and Procedures/Parent Handbook:** This covers all information that your parents need to know about the day-to-day operation of the center and should be organized in an easily readable format that parents can turn to for answers about the type of services you will provide.

**Schedules:** Each classroom is required to have posted a daily schedule of age-appropriate activities that children are involved in at the center.

**Menus:** Menus are a required posted item and a sample menu for your center must be submitted.

**Emergency Plans:** Your Policies and Procedures Handbook may state that Emergency Plans have been developed and are posted for parent viewing. Plans that must be submitted are your step-by-step plans for each of the emergency situations listed in your operation plan checklist.

**Transportation Plan:** Written plan required for routine transportation or field trips.

**Operation Plan Checklist:** Please include your checklist in its entirety when submitting your operation plan.

**Forms:** Sample forms have been provided for many of the items required. Any forms that you will be using other than sample forms provided by DECAL must be submitted for review.
Personnel Policies

The following policies are stipulated in the rules and should be included in your personnel policies/employee handbooks. These items can be copied word for word.

**Contagious Diseases:** Staff, or any other persons being supervised by the staff, shall not be allowed in the center who knowingly have, or present symptoms of a fever or diarrhea.

**Smoking:** Staff or other persons shall not smoke or use tobacco within the center premises, on the center playgrounds or in any vehicle being used to transport children during the hours that the center is in operation. **Current Fire Safety laws prohibit smoking on the premises of the child care center.**

**Prohibited Substances:** Staff, chaperones, and students in training shall not be under the influence of or consume alcohol, marijuana, or other controlled substances on the center premises during the hours of operation or any other time or place where there are children present for whom the center staff is responsible.

**Assignment of employees:** Staff with diaper changing responsibilities shall not be simultaneously assigned to kitchen food preparation duties.

**Work Schedules:** Staff shall not regularly be scheduled to perform child care duties for more than twelve (12) hours within any 24-hour period.

**Substitute Employees:** The center shall provide for substitute staff members when regular staff members are absent from work. All substitute employees shall be at least eighteen (18) years of age. Substitute caregiver staff shall be informed of these rules and the center’s policies for the age group for which they will be providing care. Substitute service staff members shall be informed of the center’s policies and procedures necessary for the proper performance of their job duties in compliance with these rules.

**First Aid and CPR:** At least fifty percent (50%) of the caregiver staff and the director shall have current evidence of training in First Aid and cardiopulmonary resuscitation (CPR) at any given time. There must always be an employee with evidence of current First Aid training and CPR on the center premises whenever children are present, on any center-sponsored field trip, and on any center vehicle transporting children. Each staff member with caregiving responsibilities must obtain CPR and First Aid training within 90 days of hire date.
Employee Files

During the Initial Licensing Study, the following items must be in each employee’s file, as well as in the director’s file:

**Application for Employment:** There is a sample form for this. If not using the DECAL sample, make sure that information from the sample is included on your application, such as the questions about CPR and First Aid training, and whether the person has ever been investigated or charged with abuse, neglect, etc.). Additionally, make sure that all questions are answered and that applicants do not leave any blanks.

**Ten-Year Work History:** There is a sample form for this. This should go back ten (10) years. Even if the person has not worked for ten (10) years, (such as, been a student, a homemaker, or unemployed), you want to know where they have been for ten (10) years. If the person did not work between two jobs, have them write “no work” so that the whole ten years is covered. The 10-year history should be updated on all staff when an ownership change occurs to include all time worked under previous owners at the same location.

**Credential/Degree Verification:** Effective December 1, 2012, a copy and/or written verification of the credential or degree awarded to directors and lead teachers (see qualification requirements) must be on file.

**Orientation:** There is a sample form for this. This covers training prior to being placed in a classroom, center rules, Bright from the Start rules and regulations, etc. and must be signed and dated by the person(s) conducting the orientation as well as by the employee. This should be completed and updated on all staff, even those who worked under previous ownership.

**CPR and First Aid Verification:** Must be geared towards infant/child, and have dates and signatures of the instructor. Make sure that trainers are DECAL approved. A copy of this should be placed in each employee file. At least 50% are required at point of licensure and ongoing. **Note:** The director and person responsible for driving the vehicle is also required to have this current training. Each staff member providing care to children must have CPR and First Aid training within 90 days of hire date.

**Any Additional Training:** Sample forms are available to keep track of training.
Fingerprint Clearance Letter: All employees and staff members must have documentation issued by DECAL on file showing that their fingerprint and background checks were satisfactory.
Guidelines for Creating Policies and Procedures

Use this form to assist you in writing your Center Policies and Procedures. If you have covered each item listed in this guide, you will have covered each of the topics required. If you are using the previous owner’s policies, use this checklist to make sure that all items have been covered and changes, if any, in days of operation, times of operation, ages of children served, etc., have been made.

This list may also be used to create your parent handbook.

To create your center Policies and Procedures Handbook, include the following information plus all items required in the Operations Plan Checklist:

__1. Ages of Children Served
__2. Months of Operation
__3. Days of Operation
__4. Hours of Operation
__5. Dates center is closed, such as holidays, inclement weather, vacation closing, etc.
__6. Admission requirements including parental responsibilities for supplying and maintaining accurate required record information and escorting child to and from the center.
__7. Standard fees, payment of fees, fees related to absences and vacations and other charges such as insurance, transportation, etc.
__8. Transportation provided, if any, to include procedure to be followed if no one is home or at the designated drop-off site to receive a transported child, such as school, home pickup/delivery; and special events such as dance lessons, swim lessons, etc. If the center offers no transportation, state this in your policies so that parents will know.
__9. Guidance and discipline techniques, to include a statement of the general philosophy of classroom management, statement of discipline techniques to be used, and statement of disallowed discipline techniques as described in Rules and Regulations.
10. Handling emergency medical care including place(s) a child will be taken for emergency medical care, identification of the facility’s primary medical resource and method used to transport the child to this location.

11. Description of information and instructions for completing the How To Obtain Medication Form; for administering medication, such as limited to no more than two weeks, physician authorization required; times medication will be administered; how long authorization is in effect; and procedure for delivery and pickup of medication. Also, instructions for recording noticeable adverse reactions to the medication.

12. Instructions for notifying parents of child’s illness, injury, and exposure to a notifiable communicable disease; statement of parents’ responsibility to inform center of a communicable disease; exclusion of sick child with 101 degrees or higher oral temperature and any other symptoms such as diarrhea, sore throat, etc. Describe the way you will notify parents of these conditions.

13. Policies on exclusion of children with communicable disease as defined in the chart of communicable diseases and their recommendation for readmission. The chart should be posted in the center.

14. Policies for protection of children inside the facility in the event of severe weather and lockdown procedures if a threatening situation should occur; and evacuation of the building in the event of fire, gas leak, bomb, and physical plant problems. Must describe steps to protect children while in the center or the vehicle, which should also be posted in the center. Describe procedures to evacuate infants, toddlers, and children with disabilities or chronic medical conditions; explain procedures to communicate with families and to reunite children with families if unable to return to the center. Templates are available at http://www.decal.ga.gov/BftS/FormList.aspx?cat=Child%20Care%20Learning%20Center .

15. Description of any special procedures to be followed in caring for a child, including any special services, which the center agrees to provide to a child with special needs.

16. Description of food service, meals and snacks served, provisions for food provided by parents, and explanation of how exceptions, such as for allergies, or food from home, will be handled.

17. Written parental authorization for child to participate in field trips, special activities away from the center, and activities occurring in water that is more than two feet deep, if the center participates in any such activity. If the center is to provide routine transportation for the child to and from school, home, or center for field trips, details on procedures, written
permission needed for each trip, method of transportation for swimming activities include location, fees if any, equipment needed by children, and certification of lifeguard.

__18. Evidence of age-appropriate immunizations or a signed affidavit against such immunizations within 30 days of child’s enrollment.

__19. Statement that center is required to report any suspected child abuse, neglect, exploitation or deprivation to the Department of Family and Children Services.

__20. Statement that center is required to report any suspected case of notifiable communicable disease to the local County Health Department.

__21. If infant care is provided, include policies and procedures on written feeding plan, which includes parents’ instructions for feeding of formula or breast milk, updating of plan, provision of formula/breast milk bottles which are identified with child’s name and current date, handling of leftover formula/breast milk, provision of baby food, provision of diapers, use of pacifier; must also include safe sleep policies.

__22. If you want parents to provide articles such as combs, toothbrushes, sheets, covers, change of clothing, you need to outline it in your procedure;

__23. Statement to inform parents of posted notices to include the license, copy of rules, review of evaluation report, communicable disease chart, statement of parental access, names of persons in charge, current weekly menu, emergency plans for severe weather and fire, and statement for visitors. If you have no liability insurance coverage for the children, you must post a notice alerting parents of this fact. There is a sample form for this.
Children’s Files

The following items are to be in each file. Sample forms are provided in your Applicant’s Guide. Please check all children’s files that were under former ownership for completeness and accuracy. If changing enrollment applications over to your facility information, please have completed by date of initial licensing study.

Note: Children’s files must be maintained for a period of one year after child is no longer in care at the facility.

Enrollment Form: This should be completed prior to the children being left in your care. Make sure that all questions are answered and that no blanks are left. Ask that parents not use NA. All questions are applicable and should be answered or have NO or NONE, (i.e., allergies).

Emergency Medical Authorization: Again, all questions should be answered with no blanks and must be completed prior to the child being left in your care. Make sure that the Doctor’s name and phone number are completed and readable.

Parental Agreement: This lists the services that you provide and what both you and the parent agree to. You may want to add to this agreement, for example: information about receiving, reading, and understanding the Parent Handbook.

Parent Notice of No Liability Insurance: This lets parents know that you do not have liability insurance to protect their child in the event of an injury, etc. If you do carry this insurance, you do not need this form in children’s files.

Other forms:

• Incident Report
• Infant Feeding Plan
• Authorization for Medication
• Vehicle Emergency Medical Information
• Transportation Agreement
• Field Trip Permission Form
Schedules

A daily schedule is required by rules to be posted in each classroom. These schedules should be age-appropriate and individualized for each classroom. One schedule is not appropriate for use for an entire center as children of different ages and abilities will require longer or shorter times for different activities.

Schedules should show all hours of operation, from the time the center is opened until the center closes. (Refer to your Application A to make them match for times and ages served.)

If parents will be providing meals, center must adhere to the “Criteria for Sack Lunches” memo, to include having additional foods on hand and a food preparation area.

Schedules are to include a balance of quiet and active periods; free choice and teacher-directed activities; and large and small muscle activities; and cover the six interest areas (art and crafts, music and movement, language and reading, science and nature, dramatic play, and manipulative areas).

Schedules should show the activities of the children—not the teachers. The required amount of outdoor time must be shown on your schedule. The rules require one and one-half (1½) hours of outdoor play daily for children who are at least one (1) year old. One (1) hour is required for children under 12 months of age. Snacks and meals must be shown on your schedules; a minimum of two (2) hours between each meal and snack must be reflected.

If you provide care for school-age children, part-day schedules, such as before or after school hours, and full-day schedules are required, if applicable.
Weekly Menu

A weekly menu for meals and snacks is required by rules to be posted near the front entrance, so that they are visible to parents.

Your menu should include clearly identified food and drinks and fulfill required components and creditable food items described in U.S.D.A. guidelines.

Each meal and snack that is to be served is to be on your weekly menu.

If you are providing evening or night care, those meals and snacks should be reflected as well.

Staff must follow infant feeding plans completed by parents for children under 12 months of age. This plan should be updated by parents each time the child’s feeding requirements change.

If your center will have food catered, a copy of the establishment’s food service permit, as well as a copy of their most recent inspection, should be submitted. A menu will still be posted.
Emergency Plans

Each facility must have step-by-step procedures stating how emergencies are handled. Each item listed in your checklist should be written out as a separate plan:

- Fire
- Severe weather
- Loss of electrical power or water
- Structural damage to the building
- Death, serious injury, or loss of a child
- Threatening event
- Natural disaster

Each plan must include procedures for:

- Evacuation
- Relocation
- Shelter-in-place
- Lock-down
- Communication and reunification with families
- Continuity of operations

Each plan must also include accommodations for:

- Infants and toddlers
- Children with disabilities
- Children with chronic medical conditions

General Guidelines:

- When writing your emergency plans, make your plans specific to your program and building.
- Start from the beginning of the emergency and continue until the emergency situation is over.
- Make sure plans are step-by-step.
- Give staff specific jobs.
- Decide where you would go if you had to evacuate the building and grounds.
- Ensure that your plans are usable by your staff.
- Include reporting the incident to DECAL within 24 hours or the next business day after the incident.
Transportation Plans

A written transportation plan must be included with your operation plan and be a part of your policies and procedures.

Types of transportation to include:
- Routine transportation
- Field trip transportation

The written transportation plan must include:
- Name of licensed driver and evidence of current driver’s license
- Written transportation agreement with the parent
- List of children to be transported
- Checklist for the accounting of children
- Transportation record
- Vehicle emergency medical information
- Annual vehicle inspection form
- Evidence of First Aid and CPR training for the driver
- Field trip permission form

Include written procedures for any alternate transportation used, such as contracted transportation.
Operation Plan Checklist

Applicant(s)
Name

Contact Person

Address

Phone Number(s)

Program Name

Address

Phone Number(s)

County

1. Submit copies of forms and/or documentation to show compliance with each item listed below along with this checklist to the Applicant Services Unit. Keep one copy of the checklist and attachments for your files.

2. Submit a self-addressed, stamped envelope sufficient in size with adequate postage to receive your copy of the evaluated checklist.

All items listed below should be represented on the operation plan, or answered on the checklist, which is attached to your plan. The Applicant Services Unit will use this checklist to evaluate your plan using the following key:

- **M** – Met
- **NM** – Not Met
- **NA** – Not Applicable
- **D**- Discussed,
- **?** - Question/Further Clarification Needed

**NOTE:** If using sample forms, you may indicate this on your checklist by writing SF rather than sending copies of sample forms. Please be advised there are only sample forms for items starred (*); therefore, on items not starred you must develop your own forms for these items.
Records Forms

The forms listed below are required for the daily operations of a child care program. Checklists showing the required elements are also included to assist with developing the forms. Ample forms are available in this document and on the DECAL website for your use. If you choose to use the sample forms provided by the Department, please write SF next to each heading, but do not write in the gray boxes. Do not send copies of the sample forms. If you choose to develop your own form(s), please include a sample copy for review, with the checklist.

Staff Records

- Staff Application Form with 10-Year Employment History
- Staff Daily Attendance Form
- Orientation Form
- Center Policies and Procedures
- Emergency Preparedness Plan
- Employee Duties and Responsibilities
- Suspected Child Abuse Report
- Suspected Neglect/Deprivation Report
- Suspected Communicable Disease Report
- Suspected Serious Injury Report

Children’s Records

- Child Enrollment Form
- Parental Agreements
- Emergency Medical Authorization
- Parent/Guardian Notice & Acknowledgement of No Liability Insurance
- Daily Attendance Record
- Arrival and Departure Record
- Medication Authorization Form
- Report of Incident Requiring Professional Medical Attention
- Transportation/Field Trip Form

- Transportation Services Description
- Transportation Agreement
- Transportation Record
- Emergency Medical Information for Vehicle
- Weekly Transportation Checklist for Accounting for Children
- Annual Transportation Vehicle Safety Inspection Certification
- Field Trip Permission Form
- Infant Feeding Plan Form
- Safety Drill Information
Staff Records

A record must be established on each staff person including the director, who will be the licensee in some cases. The checklists below show all of the information that’s must be included on the records. Submit the forms you will use to capture the required information. Write SF on the top of the document if you are using the Sample Form from the Applicant Guide. Please note that only items starred (*) have sample forms.

Staff Records Checklists

<table>
<thead>
<tr>
<th>Office Use Only</th>
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<th>Office Use Only</th>
<th>*Staff Application Form / Staff Attendance Record Checklist</th>
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<tbody>
<tr>
<td>Review Date:</td>
<td>Review Date:</td>
<td>Review Date:</td>
<td>The Staff Application Form must include the following:</td>
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<tr>
<td></td>
<td></td>
<td></td>
<td>• Name</td>
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<td></td>
<td></td>
<td></td>
<td>• Date of birth</td>
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<td></td>
<td></td>
<td></td>
<td>• Current address</td>
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<td></td>
<td></td>
<td></td>
<td>• Current telephone number</td>
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<td></td>
<td>• 10-Year Employment history</td>
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<td>• Education</td>
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<td>• Copies/written verification of credential/degree are required for directors and lead teachers</td>
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<td>• Qualifying work experience commensurate with position</td>
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<td></td>
<td>• The following required statements:</td>
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<td>• Staff member has never been shown by credible evidence, e.g. a court or jury, a department's investigation or other reliable evidence to have abused, neglected or deprived a child or adult or to have subjected any person to serious injury as a result of intentional or grossly negligent misconduct as evidenced by an oral or written statement to this effect obtained at the time of application.</td>
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<td>• Staff member has not made any false statements on their application regarding their qualifications.</td>
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<td>• Under the American with Disabilities Act of 1991, all programs are required to reasonably accommodate individuals with a disability. The reasonable accommodation requirement applies to the application process, any pre-employment testing, interviews and actual employment, but only if the program supervisor is made aware that an accommodation is required. Staff members who are disabled and require accommodation may request it at any time during the interview process. They are obligated to inform the program director of their needs if it will impact their ability to perform the job for which they are applying.</td>
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<td></td>
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<td>• Staff member has read the job description covering the applied-for position and staff members are in all respects able to perform adequately the duties as described.</td>
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<td></td>
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<td></td>
<td>• There must be proof that staff member does not have a criminal record. Rule Reference: CCLC #591-1-1-.24</td>
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</tbody>
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* Daily Employee Attendance Form Checklist

The center must keep the Daily Employee Attendance for a six-month period. Rule Reference: CCLC #591-1-1-.24(g). Orientation must be conducted with new staff prior to assignment to children or task. Rule Reference: CCLC #591-1-1
<table>
<thead>
<tr>
<th>* Orientation Form Checklist</th>
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<tbody>
<tr>
<td>The Orientation Form documenting that staff orientation has been conducted is attached. It must include:</td>
</tr>
<tr>
<td>• The center’s policies and procedures</td>
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<tr>
<td>• Emergency preparedness plans</td>
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<tr>
<td>• Employee’s assigned duties and responsibilities</td>
</tr>
<tr>
<td>• Reporting requirements for suspected cases of child abuse, neglect or deprivation; communicable diseases and serious injuries</td>
</tr>
<tr>
<td>• The rules and regulations set forth in Rules #591-1-1</td>
</tr>
<tr>
<td>• Such instruction shall require new staff to be generally familiar with the health and safety requirements for caring for the children that are set forth in the specified sections</td>
</tr>
<tr>
<td>• Childhood injury control</td>
</tr>
<tr>
<td>• The administration of medicine</td>
</tr>
<tr>
<td>• Reducing the risk of Sudden Infant Death Syndrome (SIDS)</td>
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<td>• Hand washing</td>
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<td>• Fire safety</td>
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<tr>
<td>• Water safety</td>
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<tr>
<td>• Prevention of HIV/Aids and blood borne pathogens</td>
</tr>
<tr>
<td>• Child care training requirements</td>
</tr>
<tr>
<td>• Signature and date of person providing orientation</td>
</tr>
<tr>
<td>• Signature and date of person receiving orientation</td>
</tr>
</tbody>
</table>

Rule Reference Here
Child Records

A record containing the following information must be maintained for each child enrolled. Submit a sample of your forms related to children’s enrollment. It must include all the items listed in the checklist below, if all are applicable. Write SF on the top of the document if you are using a Sample Form from the Applicant Guide. During licensure visits, the organization of records will be evaluated. Forms must be available for parents to complete.

**Child Records Checklists**

<table>
<thead>
<tr>
<th>Office Use Only</th>
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<td>Review Date:</td>
<td>Review Date:</td>
<td>Review Date:</td>
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</table>

*Child Enrollment Form Checklist*

Identifying information about the child to include:
- Name
- Date of birth
- Sex
- Address
- Living arrangement, if not with both parents
- Name of school
- Name of guardian, if applicable

Identifying information about the parents or guardian to include:
- Names of both parents
- Guardian, if applicable
- Home and work addresses
- Home and work telephone numbers

Name(s) and information about the person(s) to whom the child may be released. Such information shall contain the authorized person’s
- Address
- Telephone numbers
- Relationship to child and to parent(s) or guardian
- Other identifying information

Emergency contact information
- Including the name and telephone number of person(s) to contact in emergencies when the parent or guardian cannot be reached

Evidence of age-appropriate immunizations or signed affidavit against such immunizations

Primary care physician’s or clinic’s name and telephone number;

Statement regarding
- Known allergies
- Physical problems,
- Mental health disorders,
- Mental or developmental disabilities which would limit the child’s participation center program and activities

Description of any special procedures to be followed in caring for the child, including
- Any special services which the center agrees to provide to a child with special needs

*Parental Agreement Forms with Child Care Facility Checklist*

These are signed agreements between the center and the parent to include:
- Description of general services to be provided by the center to the child including whether the center is providing meals and snacks;
- Description of the information that will be required of the parent before the center will dispense any medication and the parent’s acknowledgment that they will provide all the necessary information.
- Parents’ acknowledgment of the following:
• When the parents, or persons authorized by the parents, pick up or drop off their child at the center, they will not allow their child to enter or leave the center without being escorted and that the center will not permit the child to enter or exit the center without an escort; and
• Parents are responsible for keeping the center advised of significant changes as the changes occur in the information that the parents provided at the time of enrollment concerning phone numbers, work locations, emergency contacts, family physician, etc.
• Center provided the parents a copy of the Policies and Procedures;
• Center advised the parents of the child’s progress, issues relating to the child’s care and individual practices concerning the child’s special needs;
• Center encouraged parents’ participation in center activities.

**Emergency Medical Authorization Checklist**
A signed authorization to obtain emergency medical care for the child

**Parent/Guardian Notice of No Liability Insurance and Acknowledgment Checklist**
Form must be signed by parent or guardian to acknowledge that they are aware that the facility does not carry liability insurance
Form shall be maintained on file while the child is enrolled and for 12 months after the child’s last date of attendance
  • This is only applicable to facilities that do not carry liability insurance
Center directors should mark N/A on the checklist if facility has liability insurance

**Daily Attendance Record Checklist**
Daily Attendance Record must consist of a child’s daily attendance records for the twelve (12) preceding months. Submit a copy of the form or note SF if using the sample form.
  • Record must be maintained but need not be filed in each child’s record.
Record shall be made available to the Department in printed or written form upon request.

**Arrival and Departure Records Checklist**
These are records of a child’s daily arrival and departure for the twelve (12) preceding months.
  • Records shall be maintained but need not be filed in each child’s record.
  • Records, in written or electronic format, must be completed by child’s parent, guardian or person(s) authorized by the parent or guardian to drop off and pick up the child each time an individual drops off and picks up the child.
  • Policies must be implemented which require staff to match identifying information provided by the parents to the person picking the child up.
  • Documentation must include:
    • Date
    • Child’s name
    • Arrival and departure times
    • Signature or initials of the individual(s) dropping off or picking up the child

**Medication Authorization Checklist**
The Medication Authorization Form must be completed if center staff will dispense routine medication. If the staff will not dispense routine medication submit the form and write NA at the top. All guidelines for this form must match
the information in the Parent Handbook. The form must include the following information:
- Date
- Full name of the child
- Name of medication
- Prescription number, if any
- Dosage
- Dates to be given
- Time of day medication is to be dispensed
- Signature of parent
- Verification that medication was dispensed according to the parents’ authorization, which shall include:
  - Date given
  - Time given
  - Amount of medicine given
  - Adverse reactions noted, if applicable
  - Signature/initials of persons administering the medication

*Report of Incident Requiring Professional Medical Attention Checklist
This form must include the following information:
- Child’s Name
- Type of illness or injury
- Date of illness or injury
- How illness or injury occurred
- Staff present
- Method of notifying parent
- Services provided to the child

Transportation/Field Trip Forms Checklists
These transportation-related forms are required if the center provides transportation services. If transportation is not provided, write NA at the top of the forms.

Description of Transportation Services Provided Checklist
Description of Transportation Services Provided Form should instruct center director to check all that apply:
- Routine (school, home pick-up delivery, etc.)
- Field trips
- Contractual transportation services
- Emergency only
- Facility owned/leasing vehicle
- Staffs’ vehicle
- Parents’ vehicle
- None provided. If none provided, submit plan for emergency transportation, i.e., personal vehicle/ambulance/911

*Transportation Agreement Checklist
This form is required if the center provides routine transportation for the child to or from school, home, or center, but not field trips. The authorization shall specify the following:
- Routine pick up location;
- Routine pick up time
- Routine delivery location
- Routine delivery time
- Name of any person authorized to receive the child
- Procedure to be followed if the authorized person is not present at the drop-off site to receive the child

*Transportation Record Form Checklist
This form must include the following:
- A checklist for counting, loading, and unloading of children at locations
- The signature of person conducting the check
- Facility’s checklist, including:
  - staff’s signature
  - date
  - inspection verifying that vehicle is clean, free of hazards, in safe repair, and equipped with a recommended dry chemical, Type 1A-10BC fire extinguisher, required first aid supplies, and functioning heater

*Vehicle Emergency Medical Information Form Checklist*

One of these forms for each child being transported by the center must be in the vehicle. The emergency medical information card for each child shall include:
- Listing of the child’s allergies
- Special medical needs and conditions
- Current prescribed medications that the child is required to take on a daily basis for a chronic condition
- Name and phone number of the child’s doctor
- Name of local medical facility center uses in the area where it is located
- Phone numbers where the parents can be reached

*Weekly Transportation Form to Account for Children Checklist*

This form must include the following information for each child transported:
- Name
- Pick-up location
- Pick-up time
- Delivery location
- Delivery time
- Length of time on the vehicle
- Alternate delivery location if parent is not at home
- Name of person to receive child
- Center Name
- Driver Name
- Center Phone Number

*Annual Transportation Vehicle Safety Inspection Certification Form Checklist*

The form must include satisfactory annual safety check of:
- Tires
- Headlights
- Horn
- Tail suspension
- Exhaust system
- Steering
- Windshield and windshield wipers

*Field Trip Permission Form Checklist*

This form is required if field trips are provided. If field trips are not provided write NA on the top of the document. This form must include:
- Name/address of the trip destination
- Date of the trip
- Time of departure
- Estimated arrival time back at the center
- Parent/Guardian signature of permission and date

*Infant Feeding Plan Checklist*

This form is required for children under age one (1) and must include:
- Amount of formula to be given
- Instructions for the introduction of solid foods
- Amount of food to be given
- Notation of any type(s) of commercial pre-mixed formula which may not be used in an emergency because of food allergies
- Parent/Guardian signature and date
### *Safety Drill Information Checklist*

This form will be used to document fire, tornado and other emergency-situation drills. Fire drills must be conducted monthly. Tornado and other emergency-situation drills must be conducted every six (6) months. The documentation must:

- Show dates and times of the drills
- Be kept on file for two (2) years
Policies and Procedures

These guidelines apply to a center’s written Policies and Procedures Manual, the handbook given to parents during enrollment. Please make sure that all information included is specific to your program and indicate on the checklist the page where each item can be found.

**Note:** No sample form is available for this section.
Policies and Procedures for Parents

Policies and procedures must be written because they govern the operations of the center or group home. They must be kept current and available to parents.

Everything listed in this checklist must be covered in your policies for parents, i.e., handbook, policy manual. Your policies should match the information in the Application Part A for the ages, months, days, and hours. They also much include the corresponding page numbers.

<table>
<thead>
<tr>
<th>Office Use Only</th>
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<th>Policies and Procedures for Parents Checklist</th>
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<tbody>
<tr>
<td>Review Date</td>
<td>Review Date</td>
<td>Review Date</td>
<td>Ages of children served (the same thing you wrote on Application A) PG:</td>
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<td>Months of operation (same as on Application A) PG:</td>
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<td>Days of operation (same as on Application A) PG:</td>
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<td>Hours of operation (same as Appl. A) PG:</td>
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<td>Description of enrollment and admission requirements which specifies:</td>
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<td>• Parents’ responsibilities for supplying and updating needed information to the center, and escorting the child to and from the center PG:</td>
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<td>A fee and payment schedule that specifies the standard fees, fees related to absences and vacations, and other charges and fees such as transportation and late fees. PG:</td>
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<td>Full description of the facility’s transportation and field trip services</td>
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<td>• If transportation/field trips are not provided, state it, e.g. Routine transportation will not be provided at this program.</td>
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<td>• If a public school bus picks up and delivers to facility, state it.</td>
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<td>• If school bus is provided to or from school or home, include these details and procedures if no one is at drop-off site to receive child;</td>
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<td>• If you offer field trips, tell parents what vehicle their child will ride in, e.g., parent car, or center vehicle PG:</td>
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<td>Description of meals and snacks served, including guidelines for food brought from the child’s home</td>
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<td>• This should match Application A and the sample menu center provides.PG:</td>
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<td>Statement giving permission for parent/guardian access to center areas child uses. PG:</td>
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<td>Summary of child abuse reporting law requirements PG:</td>
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<td>Nondiscrimination statement PG:</td>
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<td>Description of center-sponsored religious and cultural activities. PG:</td>
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<td>Description of center’s safe sleep policy. PG:</td>
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<td>Description of center’s feeding procedures if licensed for infant/toddler care</td>
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<td>• If not applicable, write N/A on form. PG:</td>
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</table>
**Description of center’s diapering procedures if licensed for infant/toddler care**

- If not applicable, write N/A on form. **PG:**

**Procedures for notifying parents of the following: (Specify how they’ll be notified)**

- **Illness**
  - Children shall not be accepted, nor allowed to remain at the center if they have an oral temperature equivalent to 101 degrees or higher and other contagious symptoms, such as, but not limited to, rash, diarrhea or sore throat.

- **Injury**
  - Include minor injuries which do not require professional medical attention and serious injuries which do require professional medical attention. **PG:**

**Emergency medical procedures, plus place(s) children will be taken for care:** **PG:**

**Procedures for administering medication and recording noticeable adverse reactions.** **PG:**

- If the program will not administer routine medication state it. **PG:**

**Illness** (to include a policy that a child shall not be accepted nor allowed to remain at the center if the child has the equivalent of a one hundred and one (101) degrees or higher oral temperature and another contagious symptom, such as, but not limited to, a rash or diarrhea or a sore throat). **PG:**

**Injury** (to include minor injuries which do not require professional medical attention, and serious injuries which do require professional medical attention). **PG:**

**Exposure to a notifiable communicable disease, e.g., chicken pox**

- Specify how center will notify parents that child was exposed, e.g., letter, sign on door. **PG:**

**Noticeable adverse reactions to prescribed medication(s).** **PG:**

**Policy on exclusion of sick children.** **PG:**

**Protection of children in the event of emergencies.**

- Permissible to state that “emergency plans have been developed and are posted for parent viewing.” **PG:**

**Severe weather/tornado** **PG:**

**Fire** **PG:**

**Physical plant problems that affect climate control or cause structural damage, e.g., power failure.** **PG:**
Staff Handbook

The following section covers the checklist of eight (8) items required in the center Staff Handbook. Please provide a complete and organized copy of your center Staff Handbook. Also indicate on the checklist where in the Staff Handbook the required statements can be found.

The checklist includes statements that must be included in the staff handbook. However, you may include additional information such as dress code, time off policy, reduction in childcare rates, use of cell phones policy, storage of personal belongings.
Staff Policies

This checklist describes the eight (8) policies that must be included in Staff Handbooks. Please ensure that staff members are aware of these policies by including them in your personnel policies or employee handbook, along with other information you share with new staff.

<table>
<thead>
<tr>
<th>Office Use Only</th>
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<th>Staff Policies Checklist</th>
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<tbody>
<tr>
<td>Review Date</td>
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<td>Hygiene/ Contagious Diseases: Staff, or any other persons being supervised by the staff, shall not be allowed in the center that knowingly have, or present symptoms of, a fever or diarrhea. PG:</td>
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<td>Prohibited Substances/ No Smoking: Staff, or other persons, shall not smoke or use tobacco within the center premises, on the center playgrounds, or in any vehicle being used to transport children during the hours that the center is in operation. PG:</td>
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<td>Prohibited Substances/ Alcohol and Illegal Drugs: Staff, chaperones, and students in training shall not be under the influence of or consume alcohol, marijuana, or other controlled substances on center premises during the hours of operation or any other time or place where there are children present for whom the center staff is responsible. PG:</td>
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<td>Diapering Areas and Practices/ Hygiene: Staff with diaper changing responsibilities shall not be simultaneously assigned to kitchen food preparation duties. PG:</td>
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<td>Staff/ Work Schedules: Staff shall not regularly be scheduled to perform child care duties for more than twelve (12) hours within any twenty-four (24) hour period. PG:</td>
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<td>Staff/Substitute Employees: The center shall provide for substitute staff when regular staff is absent from work. All substitute employees shall be at least eighteen (18) years of age. Substitute caregiver staff shall be informed of these rules and the center’s policies for the age group for which they will be providing care. Substitute service staff shall be informed of the center’s policies and procedures necessary to the proper performance of their job duties in compliance with these rules. PG:</td>
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<td>Staff First Aid and CPR: All staff with caregiving responsibilities must obtain cardiopulmonary resuscitation (CPR) and First Aid training within 90 days of hire date. At least fifty percent (50%) of the caregiver staff shall be qualified to administer first aid training and CPR at any one time. There must always be an employee qualified to administer first aid training and CPR on the center premises whenever children are present and on any center-sponsored field trip. PG:</td>
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<td>Required Training: All staff with caregiving responsibilities must obtain a Health and Safety Orientation Certificate within 90 days of hire date. Within the first year of employment, staff must obtain 10 hours of training of which two (2) hours must be in Child Abuse and four (4) hours in Infectious Disease Prevention and Injury Control. Staff must obtain ten (10) hours of training each calendar year. The director and staff conducting transportation must participate in two (2) hours of transportation training. The director and kitchen staff must obtain four (4) hours in Nutrition training. Training can be located at <a href="http://www.gapds.decal.ga.gov">www.gapds.decal.ga.gov</a>.</td>
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Menus, Schedules, and Lesson Plans

This section covers the center’s menu, daily schedules and classroom lesson plans. The forms listed below must include information specific to your program. The checklists will help you ensure that all information is included. There also are sample blank forms for use as templates. However, you must complete and submit these to accurately reflect your center’s plan for developmentally appropriate, menus, schedules, and activities.
Menus

Please use the sample meal planner provided. Be sure that you have met all required components for each meal and snack. Send the completed meal planner form with sample foods you will serve for one week. The submitted sample menu must include the meal times that are indicated in your parent handbook and on Application Part A, such as, breakfast, lunch, and afternoon snack; morning snack, lunch, and afternoon snack; breakfast, morning snack, lunch, and afternoon snack.

The submitted weekly meal plans should include:

- Clearly identified food items and drinks, such as:
  - Apple, orange, banana—not “fruit”
  - Vegetable, chicken noodle, tomato soup—not “soup”
- Required components and quantities of creditable food items and drinks as described in U.S.D.A guidelines.
  - Refer to Applicant Guide
  - Use the meal planner form to meet USDA guidelines specifying meat, protein, bread, milk, vegetables and fruit amounts for morning snack, lunch, afternoon snack and any other meals or snacks served

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Weekly Menu Checklist

- Submitted menus are to include: Clearly identified food items and drinks. Example: apple, orange, banana—not “fruit;” vegetable, chicken noodle, tomato soup—not “soup”
- Required components and creditable food items and drinks, quantities, etc. described in U.S.D.A guidelines. Refer to Applicant Guide, use the meal planner form to meet USDA guidelines. Example: Lunch-Meat/protein, bread, milk, 2 veg. or 1 fruit and 1 veg.
- Morning snack, lunch, afternoon snack and any other meals or snacks served
Daily Schedules

Please provide a daily schedule for each classroom covering the components listed below. **Note:** The full-day schedule for school-age children will cover the times they attend the program when they are out of school for holidays and spring/summer breaks.

Please ensure that outdoor play times allow for each classroom to meet the minimum time required by the rules without overcrowding the playground. Therefore, if there is limited playground space, centers should stagger outdoor times to avoid overcrowding. The submitted plan should include:

- A copy of the daily schedule for each class for all ages served, beginning when center opens and ending when the center closes
- Opening and closing times must match those listed on Application A
- Age-appropriate activities for all hours of operation, from center opening until closing that involve:
  - A balance of quiet and active periods
  - Free choice and teacher-directed activities
  - Large- and small-muscle activities
  - Language experiences
  - Arts and crafts
  - Dramatic play
  - Rhythm and music
  - Nature and science experiences
- Required amount of outdoor play, with groups rotated appropriately so that playgrounds are not over capacity, specifically:
  - At least one hour for children under one year
  - One and a half hours for ages one year and older
- At least two hours required between meals and snacks
- Part-day/full-day schedules for school-age, if applicable
  - Half-day schedule for after-school
  - Full-day schedule for summer or holidays when children are present all day
### Daily Schedule Checklist

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Daily schedule for each classroom for all ages served, beginning when center opens and ending at time center closes. Match times listed on Application A for opening & closing. Schedules are to include:

- Age-appropriate activities for all hours of operation, from center opening until closing.
- A balance of quiet and active periods, free choice and teacher-directed activities, large and small muscle activities, language experiences, arts and crafts, dramatic play, rhythm and music, and nature and science experiences;
- Required amount of outdoor play. One-and-a-half hours for one year and older. At least one hour for children under one year. Assure that groups rotate appropriately so that playgrounds are not over capacity.
- At least two hours required between meals and snacks.
- Part-day/full-day schedules for school age, if applicable. Half-day schedule for after-school, full-day schedule for summer or holidays when children are present all day.
Lesson Plans

Please provide a sample lesson plan for each age group in your program. This will include a full-day, after-school lesson plan; a half-day, after-school lesson plan, and an infant lesson plan. The GELDS.decal.ga.gov and PEACH.decal.ga.gov websites may provide guidance. **Note: If you have multiple classrooms with children of the same ages please submit one lesson plan for that age group, if each room will be doing the same activities.**

Lesson plans must show that staff members use a variety of teaching methods to accommodate the needs of individual children’s different learning styles/abilities, such as use of different types of materials to meet physical abilities, to promote children’s interests and strengths, and to overcome challenges.

The submitted plans should include:

- Samples of completed lesson plans for each age group.
- Samples that represent a daily planned program of varied and developmentally appropriate activities that promote these areas of development:
  - Physical development (fine & large motor)
  - Emotional and social development
  - Communication, language and literacy development
  - Cognitive development
  - Approaches to play and learning

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Submit samples of completed lesson plans for each age group.

Each lesson plan must represent a daily planned program of varied and developmentally appropriate activities that promote the following areas of development:

- Physical development (fine & large motor)
- Emotional and Social development
- Communication, Language and Literacy development
- Cognitive development
- Approaches to Play and Learning*

*Note: Lesson plans must reflect that staff members use a variety of teaching methods to promote children’s interests and strengths, to overcome challenges, and to accommodate the needs of individual children’s different learning styles/abilities, such as different types of materials to meet physical abilities. Examples include knobbed puzzles and chunky paint brushes.
Emergency Plans

The following section contains the checklist covering required program Emergency Plans for nine (9) specific categories. No sample forms are available.

The plans should include written, step-by-step procedures with graphics for the following situations:

- Fire, including building evacuation procedures
- Tornado/Severe weather, including protection inside the facility
- Physical plant problems, including:
  - loss of heating
  - loss of cooling system
  - loss of water
  - loss of electricity
  - structural damage
- Place(s) children may be taken in emergency until parents can be notified
- Serious injury/death
- Loss of child who wanders away from facility or on field trip
- Bomb threat
- Chemical or radiation exposure
- Dangerous person
- Relocation procedures, including:
  - transporting infants, toddlers, and children with disabilities and chronic medical conditions
- Communication with families and plans to reunite if unable to return to the center
- Plans for continuing operations if unable to return to the center for a period of time

Each plan must be approved and include information to indicate DECAL approval.
## Emergency Plans Checklist

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Written plans for emergency situations should be detailed and specific to your center. You will write them now, train your staff with them, and use them as reference when an emergency happens.

These should include step-by-step procedures to include graphics and written procedures for the following:

- Fire (evacuation of building)
- Tornado/Severe weather (protection inside building);
- Physical plant problems, to include:
  - ___ loss of heating
  - ___ loss of cooling system
  - ___ loss of water
  - ___ loss of electricity
  - ___ structural damage
- Include, if applicable, place(s) children may be taken in emergency until parents can be notified, etc.
- Serious injury/death
- Loss of child who wanders away from facility or on field trip)
- Bomb threat
- Chemical or radiation exposure
- Dangerous person
- Relocation procedures including transporting infants, toddlers and children with disabilities and chronic medical conditions
- Communication with families and plans to reunite if unable to return to the center
- Plans on how to continue operations if unable to return to the center for a period of time

### FOR OFFICE USE ONLY: OPERATIONS PLAN

Approval is based on submission of written materials, final approval will be based on the on-site inspection.

- [ ] PLAN APPROVED
- [ ] PLAN APPROVED WITH THE FOLLOWING STIPULATIONS

- [ ][ ][ ] PLAN NOT APPROVED - ADDRESS ALL ITEMS MARKED NM OR?

RETURN REVISED PLAN & APPLICABLE FORMS WITH THIS ORIGINAL CHECKLIST AND A SELF ADDRESSED, STAMPED ENVELOPE

**COMMENTS:**

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Sample Forms

Sample Forms Directory

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<th>Sample Forms Directory</th>
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<td><strong>Sample Staff Forms</strong></td>
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<td>Staff Application</td>
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<td>10-Year Employment History</td>
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<td>Documentation of Center Orientation</td>
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<td>Daily Staff Attendance Record</td>
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<td>Additional Staff Policies &amp; Procedures</td>
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<tr>
<td><strong>Sample Children’s Forms</strong></td>
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<td>Sample Children’s Enrollment Form</td>
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<td>Required Report of Incident</td>
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<td>Parent/Guardian Notice of No Liability Insurance and Acknowledgment</td>
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<td><strong>Food Service Forms</strong></td>
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<td>Food Service Guidelines</td>
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<td>Weekly Menu Form</td>
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<td>Manual Dishwashing – Chemical Method</td>
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Staff Application

(Center Name)

DATE

POSITION DESIRED

DATE AVAILABLE

INTERVIEWED BY

NAME     (FIRST)     (MIDDLE)     (LAST)     SPOUSE’S NAME

HOME ADDRESS

PHONE NUMBER

SOCIAL SECURITY NUMBER

If you are under age 18, can you submit a work permit if hired?  YES  NO
If you are not a US citizen, do you have a VISA to work in the US?  YES  NO
If yes, what kind of Visa classification do you have?
Visa Registration Number: __________________________ Expiration Date
Has bond or security clearance ever been denied and/or canceled?  YES  NO
If yes, please explain:

EDUCATION (Attach documentation of qualifying education)

PLACE

DATES

DIPLOMA, CERTIFICATE, DEGREE

SECONDARY

COLLEGE

OTHER

Experience with groups of children?  YES  NO
If yes, list below. Indicate ages of children, your duties, dates of time you worked in this position, reasons for leaving.

___________________________________________________________________________

___________________________________________________________________________

___________________________________________________________________________

___________________________________________________________________________
## 10-Year Employment History

PLEASE LIST EMPLOYMENT HISTORY FOR THE PAST TEN YEARS, BEGINNING WITH YOUR MOST CURRENT OR LAST EMPLOYER. If you have been unemployed during any time within the past ten years, list how you spent your time, e.g. student, housewife, unemployed, etc. If you need additional space please use separate employment record form.

<table>
<thead>
<tr>
<th>MONTH/YEAR</th>
<th>NAME AND ADDRESS OF EMPLOYER</th>
<th>POSITION</th>
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Have you attended/completed any child care training courses?  
- **YES**  
- **NO**

Do you have a criminal record?  
- **YES**  
- **NO**

If yes, explain: ________________________________________________________________

Have you ever been shown by credible evidence, e.g., a court order or jury, a department's investigation or other reliable evidence to have abused, neglected or deprived a child or adult or to have subjected any person to serious injury as a result of intentional or grossly negligent misconduct?  
- **YES**  
- **NO**

Under the American with Disabilities Act of 1991, this program is required to reasonably accommodate individuals with a disability. The reasonable accommodation requirement applies to the application process, any pre-employment testing, interviews and actual employment, but only if the program supervisor is made aware that an accommodation is required. If you are disabled and require accommodation, you may request it at any time during the interview process. You are obligated to inform the program director of your needs if it will impact your ability to perform the job for which you are applying.

Having read the job description for the position for which you are applying, are you in all respects, able to adequately perform the duties as described?  
- **YES**  
- **NO**

If no, please explain: ____________________________________________________________

Do you have a valid driver’s license?  
- **YES**  
- **NO**

If yes, give license number and class of license: ________________________________

Have you had CPR training within the past two years?  
- **YES**  
- **NO**

If yes, give expiration date: ________________________________

Have you had first aid training within the past three years?  
- **YES**  
- **NO**

If yes, give expiration date: ________________________________

Bright from the Start: Georgia Department of Early Care Learning requires annual child care training, are you willing to participate?  
- **YES**  
- **NO**

I certify that all information on this application is correct. I have not given any false statement concerning my qualification requirements.

**SIGNATURE** ___________________________ **DATE** ___________________________
Documentation of Center Orientation
(Conducted prior to assignment to children or task and to be placed in each employee’s file)

Employee’s Name ______________________________ Date of Employment ________________

Employee received center orientation in the following:

Facility’s Policies and Procedures

Review of State’s Health and Safety Requirements regarding:

1. Operations, health, safety, activities
2. Physical environment and equipment
3. Emergency situations
4. Food service and nutrition

Employee’s Assigned Duties and Responsibilities

Reporting Requirements for:

1. Suspected Child Abuse, Neglect or Deprivation
2. Communicable Diseases
3. Serious Injuries
4. Missing/Lost Children

Emergency Preparedness Plans
Childhood Injury Control
The Administration of Medication
Reducing the Risk of Sudden Infant Death Syndrome (SIDS)
Hand Washing
Fire Safety
Water Safety
Prevention of HIV/Aids and blood borne pathogens
Approved Child Care Training Requirements

Other (list)

_____________________________________________________
Signature of Person Providing Orientation

_____________________________________________________
Signature of Employee Receiving Orientation

__________________________________  _________________________
Date                                Date
# Daily Staff Attendance Record

**Date**

**Class**

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<th>Time In</th>
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Additional Staff Policies & Procedures
(Page to be added to Staff Policies/Staff Handbook)

Hygiene/Contagious Diseases:
Staff or any other persons being supervised by staff, shall not be allowed in the center that knowingly have, or present symptoms of a fever or diarrhea.

Prohibited Substances/No Smoking:
Staff, or other persons, shall not smoke or use tobacco within the center premises, on the center playgrounds or in any vehicle being used to transport children during the hours that the center is in operation.

Prohibited Substances/Alcohol and Illegal Drugs:
Staff, chaperones, and students in training shall not be under the influence of or consume alcohol, marijuana or other controlled substances on the center premises during the hours of operation or any other time or place where there are children present for whom the center staff is responsible.

Diapering Areas and Practices/Hygiene:
Staff with diaper changing responsibilities shall not be simultaneously assigned to kitchen food preparation duties.

Staff/Work Schedules:
Staff shall not be scheduled to perform child care duties for more than twelve (12) hours within any twenty-four (24) hour period.

Staff/Substitute Employees:
The center shall provide for substitute staff when regular staff is absent from work. All substitute employees shall be at least eighteen (18) years of age. Substitute caregiver staff shall be informed of these rules and the center’s policies for the age group for which they will be providing care. Substitute service staff shall be informed of the center’s policies and procedures necessary to the proper performance of their job duties in compliance with these rules.

Staff/First Aid and CPR:
At least fifty percent (50%) of the caregiver staff shall have current evidence of first aid training and cardiopulmonary resuscitation. There must always be an employee with current evidence of first aid training and CPR on the center premises whenever children are present and on any center-sponsored field trip. All staff with caregiving responsibilities must obtain CPR and First Aid training within 90 days of hire date.

Required Training:
All staff with caregiving responsibilities must obtain Health and Safety Orientation Certificate within 90 days of hire date. Within the first annual year of employment, staff must obtain 10 hours of training of which two (2) hours must be in Child Abuse and four (4) hours in Infectious Disease Prevention and Injury Control. Staff must obtain ten (10) hours of training each calendar year. The director and staff conducting transportation must participate in two (2) hours of Transportation training. The director and kitchen staff must obtain four (4) hours in Nutrition training. Training can be located at www.training.decal.ga.gov
Sample Children’s Forms

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# Sample Children’s Enrollment Form

<table>
<thead>
<tr>
<th>Entrance Date</th>
<th>Withdrawal Date</th>
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</table>

Child’s Name ____________________________ Sex __ Age ______ Date of birth ____________

Home Address (Street) __________________________

City __________ State __________ Zip __________

Home Phone Number __________________________

Father’s Name ____________________________ Home Phone Number __________________

Father’s Home Address (if different from child’s) Street __________________________

City __________________ State __________ Zip __________

Father’s Place of Employment __________________________ Work Phone __________________

Employer’s Street Address __________________________ City __________ State __________ Zip __________

Mother’s Name ____________________________ Home Phone Number __________________

Mother’s Home Address (if different from child’s) Street __________________________

City __________________ State __________ Zip __________

Mother’s Place of Employment __________________________ Work Phone # __________________

Employer’s Street Address __________________________ City __________ State __________ Zip __________

Child’s Living Arrangements: (check one) ( ) Both Parents ( ) Mother ( ) Father ( ) Other

Child’s Legal Guardian(s): (check one) ( ) Both Parents ( ) Mother ( ) Father ( ) Other

The child may be released to the person(s) signing this agreement or to the following:

*Name ____________________________ Address ____________________________ (Street-City-State-Zip)

Telephone Number ____________________________ Relationship to child ____________________________

Relationship to Parent(s) or Guardian ____________________________

Other identifying information (if any) ____________________________

*Name ____________________________ Address ____________________________ (Street-City-State-Zip)

Telephone Number ____________________________ Relationship to child ____________________________

Relationship to Parent(s) or Guardian ____________________________

Other identifying information (if any) ____________________________
Persons to contact in the case of emergency when parent or guardian cannot be reached:

Name ______________________________________ Phone #(s) ________________________________

Name ______________________________________ Phone #(s) ________________________________

Name ______________________________________ Phone #(s) ________________________________

Name of Public or Private School child attends, if any: ______________________________________

Child's doctor or clinic name __________________________________________________________

Doctor/clinic phone # ______________________________________________________________

My child has the following special needs ________________________________________________

The following special accommodation(s) may be required to most effectively meet my child's needs while at the center: __________________________________________________________

My child is currently on medication(s) prescribed for long-term continuous use and/or has the following pre-existing illness, allergies, or health concerns: __________________________________________________________

________________________________________________________________________________________

________________________________________________________________________________________

________________________________________________________________________________________
Emergency Medical Authorization

Should (child’s name)______________________________ Date of birth____________________
suffer an injury or illness while in the care of (Facility name)______________________________ and the facility is unable to contact me (us) immediately, it shall be authorized to secure such medical attention and care for the child as may be necessary. I (We) shall assume responsibility for payment for services.

Parent/Guardian:________________________________________________________________________ Signature
Date:________________________________________

Facility Administrator/Person-In-Charge____________________________________________________ Signature
Date:________________________________________
Parental Agreements with Child Care Facility

The _____________________________ agrees to provide child care for
(Name of Facility) on ____________________ a.m. to ________ p.m.
_________________________ on ____________________ a.m. to ________ p.m.
(Name of Child) (Days of Week)
from ________________________ to ________________________.
(Month) (Month)

My child will participate in the following meal plan (circle applicable meals and snacks):

- Breakfast
- Morning Snack
- Lunch
- Afternoon Snack
- Evening Snack
- Dinner
- Bedtime Snack

Before any medication is dispensed to my child, I will provide a written authorization, which includes: date; name of child; name of medication; prescription number; if any; dosages; date and time of day medication is to be given. Medicine will be in the original container with my child’s name marked on it.

My child will not be allowed to enter or leave the facility without being escorted by the parent(s), person authorized by parent(s), or facility personnel.

I acknowledge it is my responsibility to keep my child’s records current to reflect any significant changes as they occur, e.g., telephone numbers, work location, emergency contacts, child’s physician, child’s health status, infant feeding plans and immunization records, etc.

The facility agrees to keep me informed of any incidents, including illnesses, injuries, adverse reactions to medications, etc., which include my child.

The ______________________________ agrees to obtain written authorization from me before my child participates in routine transportation, field trips, special activities away from the facility, and water-related activities occurring in water that is more than two (2) feet deep.

I authorize the child care facility to obtain emergency medical care for my child when I am not available.

I have received a copy and agree to abide by the policies and procedures for _____________________________.
(Name of Facility)

I understand that the center will advise me of my child’s progress and issues relating to my child’s care as well as any individual practices concerning my child’s special needs. I also understand that my participation is encouraged in facility activities.

Signed: ___________________________________________ Date: _____________________
(Parent/Guardian)

Signed: ___________________________________________ Date: _____________________
Safe Sleep Practices Policy

Child’s name: __________________________ Date of birth: __________________________

Parent/Guardian name: __________________________

Safe Sleep Practices/Polices:

1) Infants will be placed on their backs in a crib to sleep unless a physician’s written statement authorizing another sleep position for that infant is provided. The written statement must include how the infant shall be placed to sleep and a time frame that the instructions are to be followed.

2) Cribs shall be in compliance with CPSCS and ASTM safety standards. They will be maintained in good repair and free from hazards.

3) No objects will be placed in or on the crib with an infant. This includes, but is not limited to, covers, blankets, toys, pillows, quilts, comforters, bumper pads, sleepers, stuffed toys, or other soft items.

4) No objects will be attached to a crib with a sleeping infant, such as, but not limited to, crib gyms, toys, mirrors and mobiles.

5) Only sleepers, sleep sacks and wearable blankets provided by the parent/guardian and that fit according to the commercial manufacturer’s guidelines and will not slip up around the infant’s face may be worn for the comfort of the sleeping infant.

6) Individual crib bedding will be changed daily, or more often as needed, according to the rules. Bedding for cots/mats will be laundered daily or marked for individual use. If marked for individual use, the sheets/blankets must be laundered weekly or more frequently if needed. This facility will adhere to the following practices: __________________________

7) Infants who arrive at the center asleep or fall asleep in other equipment, on the floor or elsewhere, will moved to a safety-approved crib for sleep.

8) Swaddling will not be permitted, unless a physician’s written statement authorizing it for a particular infant is provided. The written statement must include instructions and a time frame for swaddling the infant.

9) Wedges, other infant positioning devices and monitors will not be permitted unless a physician’s written statement authorizing its use for a particular infant is provided. The written statement must include instructions on how to use the device and a time frame for using it.

I acknowledge that the director or designee has advised me of the safe sleep practices followed by the facility.

Signature __________________________ Date __________________________
Medication Authorization

Child’s Full Name

Name of Medication

Prescription Number

Time Medication is to be Given

Amount of Medication to be Given

Date(s) to be Given

Signature of Parent or Guardian

Date

For Center Use

<table>
<thead>
<tr>
<th>Date</th>
<th>Time Given</th>
<th>Amount</th>
<th>Any Adverse Reactions</th>
<th>Administered By</th>
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If noticeable adverse reaction to medication what action was taken? Describe.

_________________________________________________________________
_________________________________________________________________
_________________________________________________________________
Infant Feeding Plan

Child’s full name_________________________________________ Date________________________

Does child take bottle? Yes [   ] No [   ]
Is the bottle warmed? Yes [   ] No [   ]
Does the child hold own bottle? Yes [   ] No [   ]
Can the child feed self? Yes [   ] No [   ]

Does the child eat: (Check all that apply)
Strained foods [   ] Whole milk [   ]
Baby foods [   ] Table foods [   ]
Formula [   ] Other [   ]
Breast Milk [   ]

What type of formula used? ____________________________________________

Amount of formula/breast milk to be given? ____________________________________________

Updated amounts of formula/breast milk: ___________________________ Date:
Amount: ___________________________ Date:
Amount: ___________________________ Date:
Amount: ___________________________ Date:

Does the child take a pacifier? Yes [   ] No [   ] If yes, when? ____________________________

Food likes ____________________________

Dislikes ____________________________

Allergies? (Include any premixed formula) ____________________________

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<th>FORMULA/ BREAST MILK</th>
<th>FOOD</th>
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<td>Amount</td>
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Instructions for the introduction of solid foods ____________________________

Any updated instructions regarding adding new foods or other dietary changes, please list as needed. ____________________________

PARENTS’ SIGNATURE: ____________________________ Date: ____________________________
**Daily Attendance/ Arrival & Departure Record**

591-1-1-.08(o-p) Attendance & Arrival/ Departure Records: A child’s daily attendance and arrival and departure records for the twelve (12) preceding months must be maintained.

Facility Name:____________________________________________

Classroom / Ages served:___________________________________

Date:_______________________________

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<th>Staff Name:</th>
<th>Sign-in</th>
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<tr>
<th>Child’s Name</th>
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<th>Age</th>
<th>Arrival Time</th>
<th>Parent/Guardian Signature</th>
<th>Departure Time</th>
<th>Parent/Guardian Signature</th>
<th>Notes on daily attendance:</th>
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KEY: X-Absent / T-Transition to another Room (attendance in other room must be recorded on the record for that room)
Required Report of Incident
[Consultant Name/Consultant Fax #]

591-1-1.29 / 290-2-3.14 of Rules and Regulations for Child Care Learning Centers/ Family Child Care Learning Homes requires that any death, serious injury requiring hospitalization or professional medical attention, or any situation where a child becomes missing while in care be reported to the Bright from the Start within twenty-four (24) hours or the next business day following the reportable situation.

Name of Facility/Provider ___________________________________________________ Phone ____________________________
Address ____________________________________________________________________________________________
City __________________________________________ County _________________________________________________
Name of Child __________________________________________ DOB ____________________ Sex ________________
Name of Parent/Guardian of Child ____________________________________________________________________________
Address ____________________________________________________________________________________________
Work Number _______________ Home Number _______________ Cell Number _______________
Date, Place and Time of Incident _______________________________________________________ (am/pm)
Describe the incident and activity the child was engaged in at the time of the incident ____________________________________________________________
________________________________________________________________________________________________________
Name(s) of staff present at the activity ______________________________________ Total # staff/children present _______________
Name(s) of other witnesses ______________________________________________________________________________________
Parent/Guardian Notified ☐ Yes ☐ No Time Notified ______________ Method of Notification ____________________________
When did child receive professional medical attention? ☐ NA __________________________
Name of facility/physician which provided medical care. ☐ NA __________________________
Describe medical attention/care/steps to locate child by facility ____________________________________________________________
________________________________________________________________________________________________________
Describe care provided by medical facility/physician ☐ NA __________________________
Describe the child’s injury ☐ NA __________________________
Does the child remain enrolled in the facility? ☐ Yes ☐ No __________________________
Describe action(s) taken to prevent reoccurrence ____________________________________________________________
________________________________________________________________________________________________________
Additional Comments _________________________________________________________________________________________
Signature of Director/Provider __________________________________________ Date ________________
(Make out form in duplicate: copy #1 to child’s record; copy #2 to consultant)
Signature of Parent/Guardian __________________________________________ Date ________________
Signature of Staff Person __________________________________________ Date ________________

** Please notify your consultant that the incident report is being faxed to ensure that it is received.**

Form may be submitted without parent’s signature to ensure it is submitted within 24 hours or the next business day.

FOR CONSULTANT USE ONLY:
☐ Diapering  ☐ Infant Sleep Safety  ☐ Playground  ☐ Swimming Pools & water-related activities
☐ Discipline  ☐ Medication  ☐ Staff:Child Ratios  ☐ Transportation/Field Trips
☐ Hygiene  ☐ Physical Plant-Hazards  ☐ Supervision  ☐ Other

Page - 93 Bright from the Start: Georgia Department of Early Care and Learning Revised 10/2019
Parent/Guardian Notice of No Liability Insurance and Acknowledgment

(Only Complete this Form if Instructed by your Child Care Provider)

I understand I am being informed in writing by signing this acknowledgment that this child care facility does not carry liability insurance sufficient to protect my children in the event of an injury, etc.

Parents’/Guardians’ Signature(s):
__________________________________________ Date:______________
__________________________________________ Date:______________

Printed Name(s):

Per SB 24 (2004) requiring child care facility owners who are not covered by liability insurance to provide and retain written notice regarding no coverage to the parents and guardians.
# Food Service Forms

- Food Service Guidelines ................................................................. 96
- Weekly Menu Form ........................................................................ 98
- Weekly Menu Form ........................................................................ 99
- Manual Dishwashing – Chemical Method ...................................... 100
- Criteria For Sack Lunches ................................................................. 101
Food Service Guidelines

- Children must receive nutritious meals and snacks while at the facility
- These meals and snack can be provided by your facility or by parents
- All meals and snacks provided at your facility must comply with USDA standards
- Weekly menus must clearly identify all foods for meals and snacks your facility plans to serve
- Two hours are required between each required meal and snack
MyPyramid
Eat Right. Exercise. Have Fun.
MyPyramid.gov

Grains
Make half your grains whole.
Start smart with breakfast. Look for whole-grain cereals.
Just because bread is brown doesn’t mean it’s whole grain. Search the ingredients list to make sure the first word is “whole” (like “whole wheat”).

Vegetables
Vary your veggies.
Color your plate with all kinds of great-tasting veggies.
What’s green and orange and tastes good? Veggies! Go dark green with broccoli and spinach, or try orange ones like carrots and sweet potatoes.

Fruits
Focus on fruits.
Fruits are nature’s treats — sweet and delicious.
Go easy on juice and make sure it’s 100%.

Milk
Get your calcium-rich foods.
Move to the milk group to get your calcium. Calcium builds strong bones.
Look at the carton or container to make sure your milk, yogurt, or cheese is lowest or fat-free.

Meat & Beans
Go lean with protein.
Eat lean or low-fat meat, chicken, turkey, and fish. Ask for it baked, broiled, or grilled — not fried.
It’s nutty, but true. Nuts, seeds, peas, and beans are all great sources of protein, too.

For an 1,800-calorie diet, you need the amounts below from each food group. To find the amounts that are right for you, go to MyPyramid.gov.

- Eat 6 oz. every day; at least half should be whole
- Eat 2 1/2 cups every day
- Eat 1 1/2 cups every day
- Get 3 cups every day; for kids ages 2 to 4, 1 1/2 cups
- Eat 5 oz. every day

Oils
Oils are not a food group, but you need some for good health. Get your oils from fish, nuts, and liquid oils such as corn oil, soybean oil, and canola oil.

Find your balance between food and fun
- Move more. Aim for at least 60 minutes everyday, or more days.
- Walk, dance, bike, rollerblade — it all counts. How great is that!

Fats and sugars — know your limits
- Get your fat facts and sugar smarts from the Nutrition Facts label.
- Limit solid fats as well as foods that contain them.
- Choose food and beverages low in added sugars and other caloric sweeteners.
## Weekly Menu Form

**Provider's Name:** 

**Month/Year:**

<table>
<thead>
<tr>
<th>Calendar Date</th>
<th>Monday</th>
<th>Tuesday</th>
<th>Wednesday</th>
<th>Thursday</th>
<th>Friday</th>
<th>Saturday</th>
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<tbody>
<tr>
<td><strong>Breakfast</strong></td>
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# Weekly Menu Form

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**Month/Year:** ______________________________________________________________

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Manual Dishwashing – Chemical Method

Approved Procedure - Preflush, Wash, Rinse, Sanitize

1. Preflush: Wash in water at about 120°F with a good detergent.
2. Rinse: Thoroughly in clean hot water after washing. This is very important.
3. Sanitize: In warm water with no less than 50 ppm chlorine solution for one minute.

Measure carefully to avoid waste.

Scrap First

Chlorine

Drain Board

Preflush

Wash

Rinse

Sanitize
Criteria For Sack Lunches

1. Nutritional requirements as presently listed in 591-1-1-.15 of the Bright from the Start Rules for Child Care Learning Centers must be met.

2. The center shall have a written agreement with parents as to the parent’s responsibility to provide the child a nutritious sack lunch.

3. The center shall provide all parents written nutritional information concerning the content of sack lunches.

4. Food brought into the center shall be evaluated each day and if the child’s lunch does not meet the nutritional requirements of 591-1-1-.15(1) the center must provide the child the additional food necessary to meet the requirements.

5. Individual lunches shall be labeled and children monitored to assure that there is no swapping of home-prepared food.

6. The center shall provide for proper storage and refrigeration of sack lunches; all perishable and potentially hazardous foods shall be refrigerated at a temperature of 40 degrees or below. [591-1-1-.15(10)]

   “Potentially hazardous food” means any perishable food, which consists in whole or in part of milk or milk products, eggs, meat, poultry, fish, shellfish or other ingredients capable of supporting rapid and progressive growth of infectious microorganisms.

7. If there is any food preparation done in the center, all related requirements in 591-1-1-.15 of the Bright from the Start Rules for Child Care Learning Centers must be met.

8. Each child shall be served at least 4 ounces of milk each day if not contraindicated by special diets. [591-1-1-.15(1)]
Items To Be Posted

All Items To Be Posted ............................................................................................................................................ 103
All Items To Be Posted

Each facility shall post in a designated area for public viewing near the front entrance the following:

- Current Child Care Learning Center license or commission
- Copy of state rules and regulations
- Notice which advises parents of their right to review a copy of the center’s most recent license or commission evaluation report upon request to the center director (Parents Rights Poster)
- Current Communicable Disease chart
- Statement allowing parental access to all child care areas upon notifying any staff member of his or her presence (Parents Rights Poster)
- Names of persons responsible for the administration of the center in the administrator’s absence
- Current week’s menu for meals and snacks
- Emergency plans for severe weather, fire, and other emergency situations
- Statement requiring visitors to check in with staff when entering the center
- No Smoking sign
- Consumer Product Safety Poster
- No Liability Insurance notice (only if facility does not carry liability insurance)

Each Center shall post in other areas:
- Daily schedules and lesson plans in each classroom

There must be an operable telephone in the facility. Each phone should have the following numbers posted by it:
- Regional Poison Control Center
- Local hospital/medical office/physician
- County health department
- Ambulance/ Rescue Squad Services/ 911
- Local fire department/ 911
- Local police department/ 911
PARENTS

YOU HAVE THE RIGHT:

1. To access this facility anytime your child is in care. However, you need to immediately make your presence known to the person in charge of the facility.

2. To review a copy of the facility’s latest licensure evaluation report, ask the facility director for this report.

A copy of the rules and regulations, which apply to this facility, is posted near the front entrance. These rules establish minimum requirements for the health, safety, and well-being of all children in care.

The department is required by law to investigate all complaints regarding rule violations. These may be addressed to the Bright from the Start: Georgia Department of Early Care and Learning licensing office at (404) 657-5562.

VISITORS

Please check in with staff upon arrival to the facility.
GOOD HEALTH IS IN YOUR HANDS!

Always wash your hands . . .

**Before**
- preparing or eating food
- treating a cut or wound
- tending to someone who is sick
- inserting or removing contact lenses

**After**
- using the bathroom
- changing a diaper or helping a child use the bathroom (don’t forget the child’s hands!)
- handling raw meats, poultry or eggs
- touching pets, especially reptiles
- sneezing or blowing your nose, or helping a child blow his/her nose
- handling garbage
- tending to someone who is sick or injured

START

1. Wet hands
2. Soap (30 seconds)
3. Scrub backs of hands, wrists, between fingers, under fingernails.
4. Rinse
5. Towel dry
6. Turn off faucet with towel

Georgia Department of Human Resources | Division of Public Health | http://health.georgia.gov

- Washing your hands is the simplest and most effective thing you can do to reduce the spread of colds, flu, skin infections and diarrhea.
- Every time you touch your hands to your mouth you can get sick.
- Eating, nail biting, thumb sucking, handling food, and touching toys are all ways germs can spread.
- Even shaking a hand or opening a door can transfer germs to your hands.
# Childhood Infectious Illnesses

(Communicable Disease Recommendations)

<table>
<thead>
<tr>
<th>Disease, Illness, or Organism</th>
<th>Incubation Period</th>
<th>How is it Spread?</th>
<th>When is Child Most Contagious?</th>
<th>Return to Center or School?</th>
<th>Report to County Health Department?</th>
<th>How to Prevent Spreading Infection</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bronchiolitis, Bronchitis, Common Cold, Croup, Ear Infection, Pneumonia, Sinus Infection, and Most Sore Throats (Respiratory diseases caused by many different viruses and occasionally bacteria)</td>
<td>Variable, numerous causes</td>
<td>Contact with droplets from nose, eyes, or mouth of infected person; some viruses can live on surfaces (toys, tissues, doorknobs, etc.) for several hours</td>
<td>Variable, often from the day before symptoms begin up to 3 days after onset</td>
<td>No restriction unless child has fever, or is too uncomfortable, fatigued, or ill to participate in activities (center unable to accommodate child's increased needs for comfort and rest)</td>
<td>N/A</td>
<td>For all Diseases: Good handwashing and hygiene; proper disposal of soiled tissues; avoid sharing linens; proper disinfection of surfaces and toys; cough into elbow or clothing when tissues unavailable; Illnesses caused by influenza virus or pneumococcal bacteria can be reduced by timely immunization. ADDITIONAL COMMENTS: Influenza: Annual influenza vaccine recommended for children ages 6 months to 18 years as well as caregivers of young children (especially those &lt;5 months); cover cough and sneeze. Respiratory Syncytial Virus: Avoid sharing linens, toys. Cold Sore: Avoid kissing, sharing drinks or utensils.</td>
</tr>
<tr>
<td>Influenza* (Influenza virus)</td>
<td>1 to 3 days</td>
<td>Highly contagious; contact with droplets from nose, eyes, or mouth of infected person; virus may live on surfaces (toys, tissues, doorknobs, etc.) for several hours</td>
<td>Variable, from the day before until the first 7 days of illness</td>
<td>After 24 hours without fever and child's symptoms are improving</td>
<td>N/A</td>
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<tr>
<td>Respiratory Syncytial Virus (RSV)</td>
<td>2 to 8 days</td>
<td>Highly contagious; contact with droplets from nose, eyes, or mouth of infected person; virus may live on surfaces (toys, tissues, doorknobs, etc.) for several hours</td>
<td>Variable, from the day before until 3 to 8 days or longer</td>
<td>After 24 hours without fever and child's symptoms are improving</td>
<td>N/A</td>
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<tr>
<td>Pinkeye (Pink of red eye; eyelid swelling, tearing and/or discharge)</td>
<td>Variable, depending on the cause—bacterial, viral or allergic</td>
<td>Highly contagious; contact with secretions from eyes of an infected person or contaminated surfaces</td>
<td>Depending on the cause, up to 2 weeks</td>
<td>On recommendation of physician; bacterial conjunctivitis requires antibiotic treatment</td>
<td>N/A</td>
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<tr>
<td>Cold Sore (Herpes simplex virus)</td>
<td>2 days to 2 weeks</td>
<td>Direct contact with infected oral secretions or lesions (dripping, kissing, thumb-sucking)</td>
<td>While lesions are present</td>
<td>After lesions are scabbed over and crusting controlled</td>
<td>N/A</td>
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</tbody>
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*Developed courtesy of the John M. tiled by Office of Community Benefits at Children's Healthcare of Atlanta. Revised 10/2019"
<table>
<thead>
<tr>
<th>Disease, Illness, or Organism</th>
<th>Incubation Period</th>
<th>How is it Spread?</th>
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<th>How to Prevent Spreading Infection</th>
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</thead>
<tbody>
<tr>
<td>Diphtheria* (Corynebacterium diphtheriae)</td>
<td>2 to 7 days</td>
<td>Contact with discharges from the nose, eyes, mouth or skin lesions of infected individual</td>
<td>Onset of sore throat to 4 days after treatment has begun</td>
<td>After 2 negative cultures are obtained</td>
<td>YES Treatment of contacts may be necessary; ensure vaccination of contacts is up-to-date</td>
<td>For all Diseases: Good handwashing and hygiene; proper disposal of soiled tissues; avoid sharing linens; proper disinfection of surfaces and toys. Illnesses caused by influenza virus or pneumococcal bacteria can be reduced by timely immunization. ADDITIONAL COMMENTS: Diphtheria: Timely immunizations; Booster dose of either Td or Tdap is recommended for anyone over 11 years of age, including adults.</td>
</tr>
<tr>
<td>Infectious Mononucleosis (Mono) (Epstein-Barr virus)</td>
<td>4 to 7 weeks</td>
<td>Kissing or mouth sharing objects contaminated with saliva</td>
<td>Variable, often prolonged</td>
<td>No restriction unless child has fever or is too uncomfortable, fatigued, or ill to participate in activities (carries unable to accommodate child’s increased needs for comfort and rest)</td>
<td>NO</td>
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<tr>
<td>Mumps* (Mumps virus)</td>
<td>12 to 25 days (usually 16 to 18 days)</td>
<td>Contact with droplets from nose, eyes or mouth of infected person</td>
<td>Parotitis (soreness of the parotid glands) 2 days before swelling, may range from 7 days before to 9 days after</td>
<td>9 days after parotid gland (neck) swelling begins</td>
<td>YES Treatment of contacts may be necessary; ensure vaccination of contacts is up-to-date</td>
<td>Mumps: Timely immunizations</td>
</tr>
<tr>
<td>Strep Throat (Group A Streptococcus bacterial)</td>
<td>1 to 5 days</td>
<td>Contact with droplets from nose and mouth. Rarely, cutaneous rashes cannot be caused by contaminated food</td>
<td>From onset of symptoms until 24 hours after treatment</td>
<td>After at least 24 hours of antibiotic treatment and no fever for 24 hours</td>
<td>NO</td>
<td>Strep Throat: Avoid kissing, sharing drinks or utensils; exclude infected adults from food handling</td>
</tr>
<tr>
<td>Tuberculosis (TB)</td>
<td>Many infected persons do not develop disease and have no symptoms. Risk of developing active disease is highest during first 1 to 2 years after infection</td>
<td>Airborne inhalation of droplets from nose and mouth of person with active TB (children usually contract TB from close contact with a diseased adult)</td>
<td>Children with TB may be infectious to others when they have active disease of the lungs or throat</td>
<td>Only when Health Department or physician gives permission</td>
<td>YES Treatment of contacts may be necessary</td>
<td>Tuberculosis (TB): Routine TB skin testing is not recommended for children; however, healthcare evaluations should assess risk of TB exposure and skin test if TB exposure is likely</td>
</tr>
<tr>
<td>Whooping Cough*# (Bordetella pertussis bacillus)</td>
<td>5 to 21 days (usually 7 to 10 days)</td>
<td>Contact with droplets from nose, eyes or mouth of infected person</td>
<td>Most infectious before cough onset (with onset of runny nose), continuing until child has been on antibiotics for 5 days. If untreated, infectious for 3 weeks after cough begins</td>
<td>After appropriate antibiotic treatment for 5 days</td>
<td>YES Treatment of contacts may be necessary; ensure vaccination of contacts is up-to-date</td>
<td>Whooping cough: Timely immunizations; Booster dose of Tdap is recommended for anyone over 11 years of age, including adults; cover coughs and sneezes</td>
</tr>
</tbody>
</table>

*Requires isolation during incubation period as per State of Georgia Code 31-9-70. **Includes pertussis, parainfluenza virus 3, adenovirus, rhinovirus, and others. 

Developed courtesy of the John N. Goddard Office of Community Resources at Children's Healthcare of Atlanta
<table>
<thead>
<tr>
<th>Disease, Illness, or Organism</th>
<th>Incubation Period</th>
<th>How Is It Spread?</th>
<th>When Is Child Most Contagious?</th>
<th>Return to Center or School?</th>
<th>Report to County Health Department</th>
<th>How to Prevent Spreading Infection</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Viral Gastroenteritis</strong></td>
<td>Varies with pathogen (pathogen is a disease-causing organism, usually 1 to 5 days)</td>
<td>Contact with stool, saliva or vomit from infected individual directly or from surfaces. Norovirus highly infectious; frequent cause of outbreaks. From 2 days before illness until vomiting and diarrhea improve.</td>
<td>No fever or vomiting for 24 hours and fewer than 5 stools per day</td>
<td>NO1</td>
<td>For all diseases: Good handwashing and hygiene; proper disposal of dirty diapers; proper disinfection of changing tables, toys and food preparation areas. Avoid potentially contaminated beverages, food and water; divide food preparation and serving responsibilities among staff.</td>
<td>ADDITIONAL COMMENTS: Bacterial Gastroenteritis: Proper cooking and handling of meats and raw eggs. (Reptiles should not be permitted in childcare centers. Pet reptiles should be handled safely in other settings.) Hepatitis A: Thorough disinfection; consider hepatitis A vaccine for caregivers. Influenza caregivers should not prepare meals for others. Pinworms: Frequent, good handwashing, particularly by infected child and any caregivers assisting with toileting; trim fingernails, prevent nail-biting and fingers in mouth; proper disposal of dirty diapers, bedding, clothes, etc.; proper disinfection of changing tables and toileting areas.</td>
</tr>
<tr>
<td><strong>Bacterial Gastroenteritis</strong></td>
<td>Varies with pathogen, from 6 hours to 7 days</td>
<td>Contact with stool from infected individual (or occasionally pets); from contaminated food, beverages or water (especially raw eggs and improperly cooked meats). When diarrhea is present. Pathogenic E. coli and Shigella require 2 negative stool cultures (exceptions may rarely be allowed by local health department for older children)</td>
<td>When diarrhea is present. When stools are formed or fewer than 5 stools per day</td>
<td>No fever and stools are formed or fewer than 5 stools per day</td>
<td>YES</td>
<td>Treatment of contacts not necessary; follow-up stool tests are necessary for Shigella and E. coli infections.</td>
</tr>
<tr>
<td><strong>Giardia (a parasite)</strong></td>
<td>1 to 4 weeks (usually 7 to 10 days)</td>
<td>Contact with infected stool consuming contaminated water or food</td>
<td>When diarrhea is present. When stools are formed or fewer than 5 stools per day</td>
<td>YES</td>
<td>Treatment of contacts not necessary; follow-up stool tests not necessary.</td>
<td></td>
</tr>
<tr>
<td><strong>Hepatitis A</strong></td>
<td>2 to 7 weeks (usually 25 to 30 days)</td>
<td>Eating contaminated food/water; close contact with infected individual; contact with infected stool</td>
<td>From 2 weeks before illness until 1 week after jaundice has begun</td>
<td>YES</td>
<td>Young children (younger than 5) often asymptomatic; one case may indicate a childcare center outbreak. Treatment of contacts may be necessary.</td>
<td></td>
</tr>
<tr>
<td><strong>Pinworms</strong></td>
<td>2 to 8 weeks</td>
<td>Pinworms lay microscopic eggs near rectum causing itching. Infection spreads through ingestion of pinworm eggs; after contamination of hands by scratching</td>
<td>Eggs may survive up to 2 weeks after appropriate therapy AND resolution of rectal itching. Re-infection is common</td>
<td>No restriction, but treatment should be given to reduce spread</td>
<td>NO1</td>
<td></td>
</tr>
<tr>
<td><strong>Disease, Illness, or Organism</strong></td>
<td><strong>Incubation Period</strong></td>
<td><strong>How is it Spread?</strong></td>
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<tr>
<td>Haemophilus influenzae type B*</td>
<td>Variable, usually 1 to 10 days</td>
<td>Contact with droplets from nose, eyes or mouth of infected person</td>
<td>Until at least 24 hours of antibiotic treatment, including antibiotics to eliminate carrier state</td>
<td>After at least 24 hours of antibiotic treatment, including antibiotics to eliminate carrier state, and child well enough to participate</td>
<td>YES</td>
<td>Treatment of contacts may be necessary. Ensure vaccination of contacts is up to date.</td>
</tr>
<tr>
<td>Neisseria meningitidis (Meningococal bacteria)</td>
<td>Variable, usually less than 4 days</td>
<td>Contact with droplets from nose, eyes or mouth of infected person</td>
<td>Until at least 24 hours of antibiotic treatment, including antibiotics to eliminate carrier state</td>
<td>After at least 24 hours of antibiotic treatment, including antibiotics to eliminate carrier state, and child well enough to participate</td>
<td>YES</td>
<td>Treatment of contacts may be necessary.</td>
</tr>
<tr>
<td>Streptococcus pneumoniae* (Pneumococcal bacteria)</td>
<td>Variable, usually less than 4 days</td>
<td>Contact with droplets from nose, eyes or mouth of infected person</td>
<td>Until at least 24 hours of antibiotic treatment</td>
<td>After at least 24 hours of antibiotic treatment, and child well enough to participate</td>
<td>YES</td>
<td>Treatment of contacts not necessary and not beneficial.</td>
</tr>
<tr>
<td>Viral Meningitis (Usually viral)</td>
<td>Variable, usually 3 to 6 days</td>
<td>Contact with droplets from nose, eyes or mouth, or nasal material, often from healthy people</td>
<td>From the day before the illness until a week after onset</td>
<td>After 24 hours without fever, and child well enough to participate</td>
<td>YES</td>
<td>Treatment of contacts not necessary; no specific treatment available.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>DISEASE, ILLNESS, OR ORGANISM</th>
<th>INCUBATION PERIOD</th>
<th>HOW IS IT SPREAD?</th>
<th>WHEN IS CHILD MOST CONTAGIOUS?</th>
<th>RETURN TO CENTER OR SCHOOL?</th>
<th>REPORT TO COUNTY HEALTH DEPARTMENT</th>
<th>HOW TO PREVENT SPREADING INFECTION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Chickenpox*§ (Varicella zoster virus)</td>
<td>10 to 21 days (usually 14 to 16 days)</td>
<td>Airborne or direct contact with droplets from nose, eyes, or skin lesions of infected individuals or freshly contaminated objects</td>
<td>From 2 days before skin lesions develop until all lesions are crusted</td>
<td>When all lesions have crusted</td>
<td>NO§</td>
<td>For all Diseases: Good handwashing and hygiene; proper disposal of soiled tissues</td>
</tr>
<tr>
<td>Fifth Disease* (Human parvovirus B19)</td>
<td>4 to 21 days (usually 4 to 14 days)</td>
<td>Contact with droplets from nose, eyes, or mouth of infected person</td>
<td>Only during the week BEFORE rash develops</td>
<td>No need to restrict once rash has appeared</td>
<td>NO§</td>
<td>ADDITIONAL COMMENTS: Chickenpox: Timely immunizations; cover coughs and sneezes</td>
</tr>
<tr>
<td>German Measles* (Rubella virus)</td>
<td>14 to 23 days (usually 16 to 18 days)</td>
<td>Contact with droplets from nose, eyes, or mouth of infected person may be transmitted to fetus across the placenta</td>
<td>From 5 days before until 7 days after the rash appears</td>
<td>7 days after the rash appears</td>
<td>YES</td>
<td>German Measles: Timely immunizations; (Child care providers who may become pregnant should be rubella-immune)</td>
</tr>
<tr>
<td></td>
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<td></td>
<td>Hand, Foot and Mouth Disease: Proper disinfection of changing tables, surfaces and toys</td>
</tr>
<tr>
<td>Hand, Foot and Mouth Disease (Coxsackievirus)</td>
<td>3 to 6 days</td>
<td>Contact with fecal, oral or respiratory secretions</td>
<td>May be contagious for several weeks after infection</td>
<td>After 24 hours without fever and child is behaving normally</td>
<td>NO§</td>
<td>Hand Lice: Should be washed closely for 2 weeks for new head lice. Close contacts need to be examined and treated for crawling lice. At home: wash bedding, clothes in hot water OR dryclean; OR use in plastic bag for 10 days. Avoid sharing beds, combs, and brushes. At school: avoid sharing headgear; hang coats separately; use Individual pillow/sleep mat</td>
</tr>
<tr>
<td>Head Lice (Pediculus)</td>
<td>Eggs (nits) hatch in 6 to 10 days</td>
<td>Close contact with infected individuals and sharing combs, brushes, hats, or bedding</td>
<td>When there are live insects on the head</td>
<td>After treatment, if crawling lice are gone, remove nits; however nits alone should not be a reason for excision. Please read the product information carefully; some may not be appropriate for infants</td>
<td>NO§</td>
<td>Head Lice: Should be washed closely for 2 weeks for new head lice. Close contacts need to be examined and treated for crawling lice. At home: wash bedding, clothes in hot water OR dryclean; OR use in plastic bag for 10 days. Avoid sharing beds, combs, and brushes. At school: avoid sharing headgear; hang coats separately; use Individual pillow/sleep mat</td>
</tr>
<tr>
<td>Impetigo (Staphylococcus or Streptococcus bacteria)</td>
<td>1 to 10 days</td>
<td>Direct skin contact (especially through contaminated hands) or nasal discharge or contaminated surfaces</td>
<td>Until active lesions are gone or after 24 hours on antibiotics</td>
<td>After at least 24 hours of antibiotics</td>
<td>NO§</td>
<td>Impetigo: Trim fingernails; Measles: Timely immunizations; cover coughs and sneezes</td>
</tr>
<tr>
<td>DISEASE, ILLNESS, OR ORGANISM</td>
<td>INCUBATION PERIOD</td>
<td>HOW IS IT SPREAD?</td>
<td>WHEN IS CHILD MOST CONTAGIOUS?</td>
<td>RETURN TO CENTER OR SCHOOL?</td>
<td>REPORT TO COUNTY HEALTH DEPARTMENT</td>
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<tr>
<td>Measles* (Rubella virus)</td>
<td>7 to 18 days (usually 9 to 12 days)</td>
<td>Airborne or direct contact with droplets from nose, eyes or mouth of infected person</td>
<td>From 4 days before the rash begins until 4 days after the start of the rash</td>
<td>At least 5 days after start of rash</td>
<td>YES Contacts may require treatment program of vaccination may be recommended during outbreaks in childcare centers or schools</td>
<td>For all Diseases: Good handwashing and hygiene; proper disposal of soiled tissues</td>
</tr>
<tr>
<td>MRSA (Methicillin-resistant Staph aureus) (a bacterial cause of skin boils and abscesses)</td>
<td>Variable, occasionally initially mistaken as spider bite</td>
<td>Direct skin contact with infected person, wound drainage, or contaminated surfaces. Increased risk in crowded conditions</td>
<td>Draining wounds are very contagious and should be covered at all times</td>
<td>If wound drainage can be well contained under a dressing. Exclude from high risk activities such as close contact team sports until completely healed</td>
<td>NO!</td>
<td></td>
</tr>
<tr>
<td>Molluscum (Molluscum contagiosum viral)</td>
<td>Usually 2 to 7 weeks, sometimes longer</td>
<td>Direct skin contact with wound or contaminated surfaces</td>
<td>Not very contagious</td>
<td>No restriction</td>
<td>NO!</td>
<td></td>
</tr>
<tr>
<td>Ringworm on body and Ringworm on scalp (Caused by fungus)</td>
<td>Unknown</td>
<td>Direct skin contact with infected person or animal, or to surfaces or objects contaminated with fungus</td>
<td>From onset of lesions until treatment begins</td>
<td>Once treatment begins; ringworm on scalp requires oral medication</td>
<td>NO!</td>
<td></td>
</tr>
<tr>
<td>Roseola (viral)</td>
<td>About 10 days</td>
<td>Respiratory droplets, often from healthy people</td>
<td>During fever</td>
<td>No restriction unless child has fever or is too ill to participate</td>
<td>NO!</td>
<td></td>
</tr>
<tr>
<td>Scabies (parasites)</td>
<td>Usually 4 to 6 weeks, 1 to 4 days after re-exposure</td>
<td>Skin contact with infected individual; contact with bedding or clothes of infected person</td>
<td>From up to 8 weeks before skin rash appears until it has been treated with a scabicidal cream</td>
<td>The day after adequate treatment begins</td>
<td>NO! If two or more documented cases in one center; treatment of contacts may be necessary</td>
<td></td>
</tr>
</tbody>
</table>

* These diseases should have been vaccinated against, if immunizations are up to date. Chicken pox may still occur, but usually in a milder form.

† These diseases may be of concern to staff members who are pregnant or trying to become pregnant. Follow-up with obstetric health care provider is recommended after known or suspected contact.

‡ To reduce the spread of diseases in the classroom or child care center, it is recommended that similar illnesses (greater than three in the child care center or classroom) be reported to your county health department.

Exceptions to the exclusion/return to school guidelines listed on this chart may be made by local health department personnel and/or primary care physician on an individual case-by-case basis.

Adequate handwashing facilities, policies, and procedures are the keys to excellent hygiene that will eliminate or minimize transmission of these diseases and keep students in school.

Developed courtesy of the John N. Grissom Office of Community Benefits at Children's Healthcare of Atlanta
NOTICE TO PARENTS AND GUARDIANS

THIS FACILITY DOES NOT CARRY LIABILITY INSURANCE COVERAGE SUFFICIENT TO PROTECT YOUR CHILD/CHILDREN IN THE EVENT OF AN INJURY, ETC.
NOTICE TO PARENTS AND VISITORS

The Consumer Product Safety Commission provides important safety information about recalled children’s products.

PLEASE VISIT THEIR WEBSITE:
www.cpsc.gov

OR CALL:
800-638-2772
TTY 800-638-8270
Transportation Guidelines

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Transportation Guidelines

To provide routine transportation services such as:

- School pick-up and delivery
- Home pick-up and delivery
- Field trips

The written Transportation Plan includes:

- Name of the licensed driver/ evidence of current driver’s license
- Manufacturer’s Rated Seating Capacity for each vehicle
- Checklist to account for the loading and unloading of children at each stop (see children’s records)
- List of children to be transported (see children’s records)
- Emergency medical information; (see children’s records)
- Annual Vehicle Inspection Form (this must be completed for each transportation vehicle used for routine and emergency purposes)
- Evidence of current first aid and CPR training for driver (see staff records)
### Vehicle Safety Inspection Certification

<table>
<thead>
<tr>
<th>ITEMS TO BE INSPECTED</th>
<th>O.K.</th>
<th>DEFICIENT</th>
<th>CORRECTION OR ADJUSTMENTS MADE</th>
<th>REMARKS</th>
</tr>
</thead>
<tbody>
<tr>
<td>Brakes</td>
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<tr>
<td>Head Lights</td>
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<tr>
<td>Tail Lights</td>
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<tr>
<td>Stop Lights</td>
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<tr>
<td>Turn Signals</td>
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<tr>
<td>Tires</td>
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<tr>
<td>Suspension</td>
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<tr>
<td>Steering</td>
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<tr>
<td>Windshield Wipers</td>
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<tr>
<td>Windshield and Windows</td>
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<tr>
<td>Exhaust System</td>
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<tr>
<td>Horn</td>
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<tr>
<td>Heating System</td>
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<tr>
<td>Safety Alarm located at back of vehicle (If equipped)</td>
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<tr>
<td>Safety Alarm functioning correctly (time delay to activation less than 1 minute) (If equipped)</td>
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</tbody>
</table>

**Owner/Operator of Vehicle:** ___________________________________________________________

**Address:** _________________________________________________________________________
________________________________________________________________________

**Make/Model:** ________________________________________________________________

**Tag Number:** _______________ **Odometer Reading:** _______________

**Mechanic’s Signature:** __________________________________________________________

**Date of Inspection:** ____________________________________________________________

Reproduce Forms as Needed (Updated 9-2011)
Transportation Agreement

This is to certify that I give ____________________________ permission to transport my child ____________________________

From ____________________________ at _______ (a.m./p.m.)

Pick-up Location

To ____________________________ at _______ (a.m./p.m.)

Delivery Location

My child will be transported from ____________________________ at _______ (a.m./p.m.)

Pick-up Location

on the following days (check all that apply):

_________________ Monday
_________________ Tuesday
_________________ Wednesday
_________________ Thursday
_________________ Friday.

______________________________ is authorized to receive my child. In the event the authorized

Name of Authorized Person

person is not present to receive my child, the following procedures are to be followed:

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

The ____________________________ is approximately ____________ miles from the center.

location

In the event that my child is not to be transported as outlined above, I agree to notify

________________________________________________________________________.

Facility name

Signature ____________________________ Date ____________________________

(Parent/Legal Guardian)
Additional transportation forms can be found on the Department’s website at: www.decal.ga.gov
Vehicle Emergency Medical Information

Child’s Name_________________________ Date of Birth ______________________

Address ________________________________________________________________

Father’s Name_________________________ Home Phone Number______________

Work Phone Number_____________________ Cell Phone Number______________

Mother’s Name_________________________ Home Phone Number______________

Work Phone Number_____________________ Cell Phone Number______________

Person to notify in case of an emergency when parents cannot be reached:

Name______________________________ Phone Number______________________

Child’s Doctor_________________________ Phone Number____________________

Medical Facility the Center uses ___________________________________________

Address ________________________________________________________________

Child’s Allergies __________________________________________________________

Current prescribed medication _____________________________________________

Child’s special medical needs and conditions __________________________________

In the event of an emergency involving my child, and if __________________________ Facility name
cannot get in touch with me, I hereby authorize any needed emergency medical care. I further agree to be fully responsible for all medical expenses incurred during the treatment of my child.

Child’s Name______________________________

Printed name of Parent/Guardian ________________________________

Signature of Parent/Guardian ____________________________________________

Witnessed by ________________________________ Date ______________________
It is important to note that Child Care Providers compliance of the new law is effective July 1, 2012.

**Exempt from Car Seat / Booster Seat Requirement**
- Standard School Bus
- Multi-Function School Activity Bus (MFSAB)

**NOT Exempt (see details below)**
- 12 to 15 Passenger Van
- Shuttle Bus (does not meet School Bus Standards)

**For “Traditional” 12 or 15 Passenger Vans and Shuttle Buses**
- All children under age 8 must be in a car seat or booster seat
- All children age 8 and older must be in seat belts

**For School Buses and Multi-Function School Activity Buses (MFSAB)**
- Children must be in a seat belt per child care licensing regulations
- Car seats or booster seats are not required by law, however

The law can be found online under the Official Code of Georgia Annotated (O.C.G.A.) Section 40-8-76.
Frequently Asked Questions about Child Care Transportation Vehicle Requirements

1. Define a 15-passenger van.
   A 15-passenger van has five rows of seats and is intended to transport up to 15 people including the driver.

2. Can I continue to use a 15-passenger van to transport children?
   Yes. You may continue to use vans to transport children. However, children under age 8 must be in an appropriate child restraint system (e.g. car seat or booster seat).

3. What is a Multi-Function School Activity Bus (MFSAB)?
   A MFSAB meets all of Federal Motor Vehicle Safety Standards (FMVSS) for a school bus, but it is not painted yellow and does not have the stop lights, stop “arm”, etc.

4. Why do vans have to use car seats and booster seats, but buses and MFSAB’s do not?
   School buses and MFSAB’s must meet more stringent Federal Motor Vehicle Safety Standards for transporting children. They are designed to provide enhanced protection for their occupants in a crash. Features such as high-back padded seats, stronger seat mounts, and enhanced rollover protection significantly reduce injuries and fatalities.

5. What if my school bus (or Multi-Function School Activity Bus) does not have seat belts?
   You may continue to transport children in school buses and MFSAB’s without seat belts. However, if seat belts are available, the children must be using them to be in compliance with licensing rules and regulations.

6. What is an “appropriate” child restraint system?
   There are hundreds of products designed to safely transport children. Always refer to the manufacturer’s instructions and/or labels for the child restraint system for information on proper use of the restraint (i.e. age, height, and weight limitations) and how to install the restraint properly. Generally, car seats are more appropriate for smaller children, and booster seats should be used for larger children. Under Georgia law, an “appropriate” child restraint system is, “appropriate for such child's height and weight and approved by the United States Department of Transportation under provisions of Federal Motor Vehicle Safety Standard 213 in effect on January 1, 1983, or at the time of manufacture.”

7. Are there any van exemptions based on the height or weight of the child?
   Yes. The following exceptions are:
   - If all other passenger seating positions with lap-shoulder belts are being used with an appropriate child restraint system, a child over 40 pounds may be in a lap belt only
   - If a parent or guardian provides a written physician’s statement that a physical or medical condition of the child prevents placing him or her in a child restraint system, the child is exempt
   - If the child is under 4 feet 9 inches, the child may be placed in a seat belt only

8. What do I do about the seats in my van that do not have a shoulder belt?
   Most car seats can be properly installed using a lap belt only. However, most booster seats require both a lap and a shoulder belt. Unless the manufacturer’s instructions state otherwise, you may not use a booster seat with a lap belt only. Doing so could increase the chances of an injury in a crash. Under the law, you must first properly secure the children in child restraint systems. If all seating positions with lap and shoulder belts are occupied, you may place children weighing over 40 pounds into a seat with a lap belt only.

9. Where can I learn more about the seat belt law?
   This law was enacted in July 2011 and can be found online under the Official Code of Georgia Annotated (O.C.G.A.) Section 40-8-76 at: http://www.lexis-nexis.com/hottopics/gacode/Default.asp.
10. How can I determine if a Bus is a School Bus or MFSAB?

All school buses and MFSAB's have a label from the manufacturer certifying that it is a school bus or MFSAB. The label is usually, "affixed to either the hinge pillar, door-latch post, or the door edge that meets the door-latch post, next to the driver's seating position, or to the left side of the instrument panel. If none of these locations are practicable, the label must be affixed to the inward-facing surface of the door next to the driver’s seating position. A bus that meets the FMVSSs applicable to school buses will state "school bus" or "MFSAB" as its vehicle classification."
Resources & Contact Information

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# Child Care & Preschool Pandemic Influenza Planning Checklist

A pandemic is a global disease outbreak. A flu pandemic occurs when a new influenza virus emerges that people have little or no immunity to and for which there may be no vaccine. The disease spreads easily person-to-person and causes serious illness. It can sweep across the country and around the world very quickly. It is hard to predict when the next flu pandemic will occur or how bad it will be.

Child care and preschool programs can help protect the health of their staff and the children and families they serve. Interruptions in child care services during an influenza (flu) pandemic may cause conflicts for working parents that could result in high absenteeism in workplaces. Some of that absenteeism could be expected to affect personnel and workplaces that are critical to the emergency response system. The U.S. Department of Health and Human Services (HHS) and the Centers for Disease Control and Prevention (CDC) offer this checklist to help programs prepare for the effects of a flu pandemic. Many of these steps can also help in other types of emergencies. More information on pandemic flu is available at [www.pandemicflu.gov](http://www.pandemicflu.gov).

### 1. Planning and Coordination:

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- Form a committee of staff members and parents to produce a plan for dealing with a flu pandemic. Include members from all different groups your program serves. Include parents who do not speak English who can help contact other non-English speakers in the community. Staff of very small programs might consider joining together with other similar programs for planning.
- Assign one person to identify reliable sources of information and watch for public health warnings about flu, school closings, and other actions taken to prevent the spread of flu.
- Learn who in your area has legal authority to close child care programs if there is a flu emergency.
- Learn whether the local/state health departments and agencies that regulate child care have plans. Be sure your flu plan is in line with their plans. Tell them if you can help support your community’s plan.
- Identify all the ways a flu pandemic might affect your program and develop a plan of action. (For example, you might have problems with food service, transportation, or staffing.)
- Encourage parents to have a “Plan B” for finding care for their children if the program is closed during a flu pandemic. Give them ideas about where they might seek help based on your knowledge of the local child care community.
- Work with those in charge of your community’s plan to find other sources of meals for low-income children who receive subsidized meals while in your care. (For example, locate food pantries and meals on wheels.)
- Learn about services in your area that can help your staff, children, and their families deal with stress and other problems caused by a flu pandemic.
- Stage a drill to test your plan and then improve it as needed. Repeat the drill from time to time.
- Consider volunteering to help in tests of community plans.
- Talk to other child care and preschool programs in your area to share information that could make your plan better. Discuss ways programs could work together to produce a stronger plan and pool resources.

### 2. Student Learning and Program Operations:

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- Plan how you would deal with program closings, staff absences, and gaps in student learning that could occur during a flu pandemic.
- Plan ways to help families continue their child’s learning if your child care program or preschool is closed. (For example, give parents things they can teach at home. Tell them how to find ideas on the internet. Talk with child care resource referral agencies or other groups that could help parents continue their children’s learning at home.)
- Plan ways to continue basic functions if your program is closed. (For example, continue meeting payroll and keeping in touch with staff and student’s families.)
3. Infection Control Policies and Actions:

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- Give special attention to teaching staff, children, and their parents on how to limit the spread of infection. (For example, use good hand washing, cover the mouth when coughing or sneezing; clean toys frequently.) Programs should already be teaching these things to build habits that protect children from disease. (See [www.cdc.gov/flu/school/](http://www.cdc.gov/flu/school/) and [www.healthykids.us/cleanliness.htm](http://www.healthykids.us/cleanliness.htm)).

- Keep a good supply of things you will need to help control the spread of infection. (For example, keep on hand plenty of soap, paper towels, and tissues.) Store the supplies in easy-to-find places.

- Tell families that experts recommend yearly flu shots for all children 6 months to 5 years old and for anyone who cares of children in that age range. (See [www.cdc.gov/flu/protect/preventing.htm](http://www.cdc.gov/flu/protect/preventing.htm)).

- Encourage staff to get flu shots each year. (See [www.cdc.gov/flu/protect/preventing.htm](http://www.cdc.gov/flu/protect/preventing.htm)).

- Tell parents to let your program know if their children are sick. Keep accurate records of when children or staff are absent. Include a record of the kind of illness that caused the absence (e.g., diarrhea/vomiting, coughing/breathing problems, rash, or other). (See [http://nrc.uchsc.edu/FCOC/XMLVersion/Chapter_3.xml](http://nrc.uchsc.edu/FCOC/XMLVersion/Chapter_3.xml)).

- Teach staff a standard set of steps for checking children and adults each day as they arrive to see if they are sick. Make it clear that any child or adult who is ill will not be admitted. (See [www.healthykids.us/chapters/sick_main.htm](http://www.healthykids.us/chapters/sick_main.htm)).

- Have a plan for keeping children who become sick at your program away from other children until the family arrives, such as a fixed place for a sick room. (See [http://nrc.uchsc.edu/FCOC/XMLVersion/Chapter_3.xml](http://nrc.uchsc.edu/FCOC/XMLVersion/Chapter_3.xml)).

- Require staff members to stay home if they think they might be sick. If they become sick while at the program, require them to go home and stay home. Give staff paid sick leave so they can stay home without losing wages. Require ill staff and students to stay at home until their flu symptoms are gone and they feel ready to come back to work.

4. Communications Planning:

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- Have a plan for keeping in touch with staff members and students’ families. Include several different methods of contacting them. (For example, you might use hotlines, telephone trees, text messaging, special Websites, local radio and/or TV stations.) Test the contact methods often to be sure they work.

- Make sure staff and families have seen and understand your flu pandemic plan. Explain why you need to have a plan. Give them a chance to ask questions.

- Give staff and students’ families reliable information on the issues listed below in their languages and at their reading levels.

  - How to help control the spread of flu by hand washing/cleansing and covering the mouth when coughing or sneezing. (See [www.cdc.gov/flu/school/](http://www.cdc.gov/flu/school/)).

  - How to recognize a person that may have the flu, and what to do if they think they have the flu. (See [www.pandemicflu.gov](http://www.pandemicflu.gov)).

  - How to care for ill family members. (See [www.hhs.gov/pandemicflu/plan/sup5.html#box4](http://www.hhs.gov/pandemicflu/plan/sup5.html#box4)).

  - How to develop a family plan for dealing with a flu pandemic. (See [www.pandemicflu.gov/planguide/](http://www.pandemicflu.gov/planguide/)).

March 20, 2006
Version 3.1
Federal Crib Requirements

U.S. Consumer Product Safety Commission
A SAFER GENERATION OF CRIBS
New Federal Requirements

Improve Slat Strength
Make Hardware Stronger
Improve Mattress Support Durability
Prohibit Traditional Drop Sides
Require Tougher Testing

5 New Federal Requirements:

traditional drop-side cribs cannot be made or sold; immobilizers and repair kits not allowed
Wood slats must be made of stronger woods to prevent breakage
Crib hardware must have anti-loosening devices to keep it from coming loose or falling off
Mattress supports must be more durable
Safety testing must be more rigorous

Beginning June 28, 2011 all cribs sold in the United States must meet new federal requirements for overall crib safety.

SafeSleep is a campaign of the U.S. Consumer Product Safety Commission.

www.cpsc.gov
NSN 11-2
Beginning December 28, 2012, any crib provided by child care facilities and family child care homes must meet new and improved federal safety standards. The new standards take effect for manufacturers, retailers, importers and distributors on June 28, 2011, addressing deadly hazards previously seen with traditional drop-side rails, requiring more durable hardware and parts and mandating more rigorous testing.

**What you should know:**

- **Ask the manufacturer, retailer, importer or distributor to show a Certificate of Compliance. The document must:**
  - Describe the product.
  - Give the manufacturer, full mailing address, email address and location of testing lab.
  - Give the product code and location of manufacture and testing lab.
  - Give the model, full mailing address and telephone number for the records keeper and location of testing lab.
  - Give the rule for which it complies (16 CFR 1219 or 1220).

- You cannot determine compliance by looking at the product. Internal components of the crib may not meet the standard.

- If you purchase a crib prior to the June 28, 2011 effective date and you are unsure if it meets the new federal standard, remove the crib from the child's use until you can verify the crib meets the standard by asking for proof.

- You can purchase a compliant crib by checking the date code sticker or the product label. Crib manufacturers must list the date the crib was made.

- Purchase a new crib, rather than repair a non-compliant one.

- Dispose of non-compliant cribs in a manner that places of public accommodation and online auctions should be avoided. Non-compliant cribs should not be sold through traditional retail, wholesale, or trade channels.

- Crib manufacturers have a legal responsibility to resolve any non-compliance issues.

**What you should do:**

- Report any non-compliant cribs you find in day care centers, child care homes, and in public retail stores.

- Only purchase cribs that meet the new federal standard.
Child Care Resource and Referral Agencies in Georgia

Region 1: Child Care Resource and Referral Agency of North Georgia-Quality Care for Children, Inc.

913 N. Tennessee Street, Suite 202
Cartersville, GA 30120

Contact Gloria Calhoun
(770) 387-0828
Toll Free 1-800-308-1825
Fax (678) 721-6676
gloria.calhoun@qualitycareforchildrennwga.org
http://www.qualitycareforchildren.org

Region 2: Child Care Resource and Referral Agency of Metro Atlanta-Quality Care for Children, Inc.

Druid Point- 2751 Buford Hwy, Suite 500
Atlanta, GA 30324

Contact Pam Runkle
(404) 479-4233
Toll Free 1-877-722-2445
Fax (404) 479-4166
pam.runkle@qualitycareforchildren.org
http://www.qualitycareforchildren.org

Region 3: Georgia Regents University

277 Martin Luther King Jr. Blvd, Suite 104
Macon, GA 31201

Contact Julie Phillips
(478) 751-3000
Toll Free 1-877-228-3566
Fax (478) 751-3010
jphillips@gru.edu
http://www.georgiahealth.edu/ccrr
Region 4: Child Care Resource and Referral of Southwest Georgia at Albany-Darton College

2429 Gillionville Rd.
Albany, GA 31701

Contact Soraya Kimbrel-Miller
(229) 317-6834
Toll Free 1-866-833-3552
Fax (229) 317-6968

soraya.kimbrel@darton.edu
http://ccrr.darton.edu/

Region 5: Child Care Resource and Referral Agency of Southeast-Georgia at Savannah-Savannah Technical College

190 Crossroads Parkway
Savannah, GA 31407

Contact Sherry Costa
(912) 443-3011
Toll Free 1-877-935-7575
Fax (912) 966-6735

scosta@savannahtech.edu
http://www.ccrrofsoutheastga.org/

Region 6: Child Care Resource and Referral Agency of East Georgia-Quality Care for Children, Inc.

3706 Atlanta Hwy, Suite 1
Athens, GA 30606

Contact Vicki Hawkins
Toll Free 1-877-722-2445

Fax (404) 479-4166

vicki.hawkins@qualitycareforchildren.org
http://www.qualitycareforchildren.org
DECAL Contact Information

2 Martin Luther King Jr. Drive, SE, Suite 670, East Tower, Atlanta, Georgia 30334
http://www.decal.ga.gov/

Mission
Bright from the Start: Georgia Department of Early Care and Learning delivers exemplary early care and education programs that improve the quality of early learning experiences, increase school readiness, and improve overall school performance.

Vision
Bright from the Start: Georgia Department of Early Care and Learning will increase the number of Georgia’s children and families who have access to quality early care and learning programs. More of Georgia’s early care and learning programs will achieve and maintain higher, measurable, research-based standards.

Contact Information:

Programs:

Child Care Licensing……………………. 404-657-5562
Complaints/Concerns…………………… 404-657-5562
Criminal Records…………………………... 855-844-7444
Exemptions…………………………………. 770-293-5977
Head Start Collaboration…………………. 404-651-7425
Pre-K……………………………………… 404-656-5957
Nutrition Services………………………… 404-656-5987
Quality Rated…………………………….. 800-855-7747
Training………………………………….. 866-425-0220
Child and Parent Services (CAPS)…… 833-442-2277
Maximus (CAPS payments)…………… 877-755-6522
Georgia’s Pre-K Program Change Request Process

Georgia’s Pre-K Program is generally non-transferable. When a center is sold after entering into a Georgia’s Pre-K grant agreement with Bright from the Start, change of ownership requirements must be met as determined by Bright from the Start. The determination before a transferral can be made as to the transferring of the Pre-K program to the new owner. Entities applying for transfer of classes must be agents who are eligible to receive prekindergarten funds as specified in the Georgia’s Pre-K Program Providers’ Operating Guidelines. The Providers’ Operating Guidelines is available for download at http://decal.ga.gov/Prek/GuidelinesandAppendix.aspx.

A request to continue services does not guarantee continuation of funding for the new owner. Funding decisions are at the discretion of Bright from the Start.

Georgia’s Pre-K payments are placed on hold during the change of ownership process. Entities requesting a change of ownership should not base business decisions on the assumption that Georgia’s Pre-K will automatically approve the continuation of Pre-K classes once a request is processed. The Pre-K Division will notify the new owner of the continuation status of the Pre-K classes after the Child Care Services Division issues a valid license.

If the transfer of the Pre-K program is approved, the payment will be released, and the new owner may continue delivery of Pre-K services. It is understood that all equipment, supplies, and materials purchased for the Pre-K program remain with the program. It is also understood that the new owner accepts the conditions of the Pre-K grant agreement for the current school year. Refer to section 21.6 in the Pre-K Program Providers’ Operating Guidelines for additional information.

Entities requesting a change of ownership must submit all information/documentation required by both the Pre-K Division and the Applicant Services Unit of the Child Care Services Division. The following is required for Pre-K:

**Seller**
- Completed Reconciliation Report for the current school year. This report must be submitted electronically through PANDA. The seller will be notified when the reconciliation package is available for completion. Instructions for completing the reconciliation can be found at http://www.decal.ga.gov/documents/attachments/ProviderReconTraining.pdf. For questions or concerns about the report, email: Panda.Recon@decal.ga.gov.

**Buyer**
- Change Addendum Form
- Online Access Agreement for PANDA (Pre-K Application and Database Access) System
- Vendor Management Form
- Vendor TIN Verification
- Request for Taxpayer Identification Number and Certification (W-9)
- Documentation from the IRS reflecting buyers business employer identification number (EIN)
- Pre-printed voided check or letter from bank reflecting the business name, address, bank account number and routing number. The letter must be signed by a bank official.
- Documentation/verification of Ownership Change (i.e. final lease/purchase agreement/bill of sale)
- Letters from the seller and buyer stating their consent to sell/purchase and date of official ownership change.
- Corporation paperwork (If ownership is under a corporation or LLC, submit the Certificate of Incorporation/Organization, Articles & By-laws.)

Mail all documents to:
Bright from the Start: Georgia Department of Early Care and Learning
Attn: Pre-K Change Addendum Packet
2 Martin Luther King Jr. Drive, SE
Suite 754, East Tower
Atlanta, Georgia 30334

It is imperative that all information/documentation be submitted to Pre-K in a timely manner. Incomplete change requests packets will not be reviewed. For questions regarding the Pre-K change of ownership process, email PreK.Ownership@decal.ga.gov.
Georgia’s Pre-K Program
Change Addendum Form

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<tr>
<th>School Year</th>
<th>Program Legal Name:</th>
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<tr>
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<td>Doing Business As Name:</td>
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**Contact Information:**

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<tr>
<th>Pre-K Project Director:</th>
<th>Phone Number:</th>
<th>FAX:</th>
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</thead>
<tbody>
<tr>
<td><strong>Business Street Address:</strong></td>
<td>City:</td>
<td>State:</td>
</tr>
<tr>
<td><strong>Business Mailing Address:</strong></td>
<td>City:</td>
<td>State:</td>
</tr>
<tr>
<td>E-Mail Address:</td>
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**Contract Signatory**: Person authorized to sign contract: Title:

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<tr>
<th>Mailing address of contract signatory:</th>
<th>City:</th>
<th>State:</th>
<th>Zip:</th>
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<tbody>
<tr>
<td>Phone Number:</td>
<td>E-mail Address:</td>
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**Site/Location Information:**

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<th>Site/Location Name:</th>
<th>Pre-K Site Location Contact:</th>
<th>Email Address:</th>
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<tr>
<td>Site/Location Phone:</td>
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<th>Site/Location Street Address:</th>
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<th>Zip:</th>
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<td>Check box</td>
<td><em>Mailing Address is same as Street Address</em></td>
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| Mailing Address: | City: | County: | Zip: |

**Pre-K Program Hours**
Start time for the instructional day:
End time for the instructional day:

*Indicate the credential level of each lead teacher for this site:*

Certified
Bachelor of Science/Arts
Indicate the Curriculum (Check One)

- Beyond Centers and Circle Time (BCCT)
- Core Knowledge Preschool Curriculum
- Creative Curriculum for Preschool
- Frog Street Pre-K Curriculum
- Galileo® Pre-K Online Curriculum
- High Scope Preschool Curriculum
- Investigator Club Prekindergarten Learning System
- Learn Everyday: The Preschool Curriculum
- Opening the World of Learning (OWL) Curriculum
- Scholastic Big Day for Pre-K Curriculum
- Splash into Pre-K Curriculum Literacy
- We Can Curriculum
- WINGS Curriculum
- Literacy

Georgia's Pre-K Program Assurances

I agree:

- All information provided in this change request packet is true and accurate. I understand that falsifying information reported will result in automatic termination of the grant agreement.

- I understand that all information contained within this change request packet, as well as documentation required as a Pre-K fiscal agent, is considered public information and will be included in the program's permanent file and is subject to Open Records request(s).

- I will conduct my business with financial integrity and fiscal responsibility including, but not limited to, appropriate use of Pre-K grant funds, compliance with state and federal tax requirements, compliance with rules and regulations of the Secretary of State’s office, the State Department of Audits, and other state agencies, as applicable, and appropriate settlement of employee and other financial obligations.

- I have read and agree to comply with the Pre-K Program Providers’ Operating Guidelines and any addenda.

*The Contract Signatory must be an officer or representative vested with the powers to commit the organization to a binding agreement if the grant is awarded. The contract signatory (CEO, COO, CFO, President, Sole Proprietor) who has apparent authority or legal authority for the program/company/etc. applying for the grant must sign the grant agreement if the grant is awarded.

__________________________________________  __________________
Contract Signatory *                        Date

__________________________________________
Title
Online Access Agreement
For PANDA (Pre-K Application and Database Access) System

This form represents a user agreement concerning access to the web-based PANDA system administered by the Department of Early Care and Learning and the provider listed below.

Legal Name of Provider: 

Contract Signatory: 

Project Director: 

Important Note: Your organization will be notified via e-mail of your user ID and password at the address you specify below. All e-mail correspondence from the Department of Early Care and Learning to your organization will be sent to this address. Please ensure it is the correct address for the Project Director of your organization, and that the e-mail account is current and checked on a regular basis.

Project Director's E-mail Address: 

I understand that per my request to be an online Pre-K provider I will be issued a user ID and password for accessing the PANDA system. I certify that I am authorized to make this request to the Department of Early Care and Learning. This user ID/password will allow me to enter, edit, and view information on my company and sites. The user ID/password created for me will also allow me to create and authorize other employees of my company to use the PANDA system for job-related duties. The use of any of these user IDs and passwords to submit information via the Internet is considered the same as filing this information via paper forms. It is my responsibility to maintain the integrity of this information by limiting access to specifically identified individuals. I understand that it is my responsibility to discontinue access when staff changes occur. I further understand that the Department of Early Care and Learning is not liable for any entries made on the PANDA system that are submitted under user IDs and passwords assigned to or created by me.

_________________________ ___________________ ____________
Contract Signatory Title Date

_________________________ ___________________ ____________
Project Director Title Date
Pursuant to Internal Revenue Service (IRS) Regulations, vendors must furnish their Taxpayer Identification Number (TIN) to
the state. If this number is not provided, you may be subject to a 31% withholding on each payment. To avoid this 31%
withholding and to ensure that accurate tax information is reported to the IRS and to the state, please use this form to
provide the requested information.

Legal Name: ____________________________
Doing Business As (DBA): ____________________________
Address: ____________________________
Telephone #: ____________________________ FAX #: ____________________________
# of years in Business: ____________________________
Nine Digit Taxpayer Number OR Fed ID#: ____________________________

Social Security Number OR Fed ID#

Business Designation (Check One)
- Governmental
- Sole Proprietorship
- Partnership
- Corporation
- Limited Liability Company

Minority Company A minority race is defined as an individual who is a member of a race that composes less than 50 percent of the
total population of the state of Georgia. This business must be: (a) owned by a member of a minority race or (b) a partnership of which one or
more members is of a minority race or (c) a public corporation of which a majority of the common stock is owned by one or more members
of a minority race.
Small Company Business has less than 100 employees or less than $1,000,000.00 in gross receipts per annum.
Minority & Small Company Business falls into both categories.
Other Company Vendor is neither a small nor a minority owned business.

Funding decisions made by Bright from the Start are not based on business designation, business type, or demographic
designation. This information is requested from all vendors applying with the state of Georgia.

Business Status (Check One)
- Profit
- Nonprofit

Tax Exempt Status (Check One)
- This organization was approved by IRS for tax-exempt status on: (Date)
- This organization submitted an application to IRS for tax-exempt status on: (Date)
- This organization is not tax-exempt.

What is the operating fiscal year of this organization? _______ to _______

Under penalties of perjury, I declare that I have examined this request and to the best of my knowledge and belief, it is true, correct, and complete.
Authorized Signature________________________________________ Title_____________________________ Date_____________________________
Vendor Management Form Instructions

Please note: The terms vendor and supplier are used interchangeably.

### SECTION 1 – To be completed by vendor / supplier.

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<tr>
<th>Field</th>
<th>Description</th>
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<tbody>
<tr>
<td>New/Existing</td>
<td>Is this vendor/supplier New or Existing? If unsure, please leave blank.</td>
</tr>
<tr>
<td>FEI/SSN</td>
<td>All companies (corporations, partnerships, LLC’s) have an IRS issued Federal Employer Identification (FEI) number or a Taxpayer Identification Number (TIN). All individuals must list their Social Security Number (SSN). FEI and SSN are nine-digit numbers. <strong>REQUIRED</strong></td>
</tr>
<tr>
<td>Vendor/Supplier Name</td>
<td>List the entire name of the business or individual that corresponds to the applicable FEI or SSN. Name and FEI / Social Security combinations must match Internal Revenue Service records. <strong>REQUIRED</strong></td>
</tr>
<tr>
<td>Payment Alternate Name</td>
<td>If the payee name is different from the parent vendor name, the alternate payee name should be provided.</td>
</tr>
<tr>
<td>Address</td>
<td>should be completed where applicable.</td>
</tr>
<tr>
<td>Driver’s License # and DL State</td>
<td>should be completed for individuals only.</td>
</tr>
<tr>
<td>Phone</td>
<td>List a Primary and Secondary Phone and extension where applicable. Indicate whether the primary number provided is a landline or a cell. This number may be used for two-step bank verification. <strong>Vendor/Supplier may receive a call from Georgia State Accounting Office personnel as part of the two-step verification.</strong> DO NOT provide a general company phone number, this number may be used to verify information. Please provide a number for a direct contact in the accounting or receivables department. <strong>REQUIRED</strong></td>
</tr>
<tr>
<td>Contact Email</td>
<td>Complete for a specific contact within a company, this should not be a general email address.</td>
</tr>
</tbody>
</table>

### SECTION 2 – To be completed by vendor /supplier requesting direct deposit/ACH payments.

This section is required to add or change bank account information to a new or existing vendor for direct deposit and ACH payments. Attaching a copy of a voided check/bank letter to the vendor management form is required and eliminates possible errors that could delay the vendor payment. See notes for check & letter requirements.

<table>
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<tr>
<th>Field</th>
<th>Description</th>
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<tbody>
<tr>
<td>Routing Number</td>
<td>This is generally the first set of numbers at the bottom of the check and uniquely identifies the banking institution. This is a nine-digit number.</td>
</tr>
<tr>
<td>Bank Account Number</td>
<td>This is generally the second set of numbers that identifies the checking account number that has been issued by the bank.</td>
</tr>
<tr>
<td>General Bank Account</td>
<td>Check here if General Bank Account can be used by all State of Georgia agencies making payments. If this bank account can be used by any State of Georgia agency to process payments, please check this box.</td>
</tr>
<tr>
<td>Specific Purpose</td>
<td>Check here if this account can only be used for a Specific Purpose. If this is bank account should only accept specific types of payment or may be applicable to certain State of Georgia agencies, please check this box. List the specific use for this bank account on the line provided. Examples of the payment location description are: “Pre-K”, or “CACFP”, or “SFSP”, or “EHS” etc.</td>
</tr>
<tr>
<td>Payment Remit Email</td>
<td>email address where supplier (vendor) would like to receive notification of direct deposit / ACH payment information. There can be two (2) remit email addresses per location.</td>
</tr>
</tbody>
</table>
Printed Name of Individual or Company Officer- This should be the individual’s name or the name of an official with the company, not the company name.

Signature of individual or Company Officer- This should be signed by the aforementioned person. Signature should be an actual signature. Typed or cursive font signatures are not accepted.

Date- This form must be dated within 90 days of the date of submission.

SECTION 3- To be completed by DECAL

SECTION 4- To be completed by DECAL

SECTION 5- To be completed by DECAL

NOTES:

1. Suppliers requesting direct deposit or ACH payments must attach a voided check. Check must be embossed with the Suppliers name and address. If a check cannot be provided, supplier must submit a verification letter provided by supplier’s bank. Letter must be on bank letterhead, include the supplier’s bank account number, bank’s routing number, the bank representative contact information and be signed and dated by the aforementioned bank representative. Typed or cursive font signatures are not accepted. Supplier’s name and address must match what is provided on the Supplier Management Form.

2. The following are not accepted for direct deposit / ACH payment verification: starter checks, checks modified in any manner, general direct deposit instructions, deposit tickets, bank statements, screen-prints or screen-captures from bank websites or accounting software, checks or bank letters with missing or incomplete information.

3. DECAL verifies Names, FEI and Social Security numbers provided with Internal Revenue Service records.

4. Vendor/Supplier may receive a call from Georgia State Accounting Office personnel as part of the two-step verification for direct deposit /ACH payment set-up.

5. Supplier name must match bank account ownership. Georgia State Accounting Office electronically verifies ownership of bank accounts to the names provided on the Supplier Management Form.

Suppliers / Vendors- should direct all inquiries to their DECAL Program point of contact.
SUPPLIER (VENDOR) MANAGEMENT ADD/CHANGE FORM

The Vendor Liaison should submit this form to SAO Vendor Management Group for verification and approval. Agency Liaisons must complete Section 5 of the form to obtain approval. All necessary supporting documentation must be attached. (*Required fields)

SECTION 1 – SUPPLIER IDENTIFICATION (COMPLETE ALL APPLICABLE FIELDS)

*NEW  *EXISTING

*SUPPLIER NUMBER: ___________________________  *FEI/SSN/TIN NUMBER: ___________________________

*NEW  *EXISTING

SUPPLIER NAME: ___________________________

PAYMENT ALT NAME: (IF PAYABLE TO DIFFERENT NAME)

ADDRESS: ________________________________________________________________

CITY: ___________________________________________________ STATE: ___________ ZIP CODE: ___________

COUNTRY: ________________________________________ DRIVERS LICENSE #: _____________ DL STATE: ___________

PRIMARY #: ___________________________ EXT: _______  SECONDARY #: ___________________________ EXT: _______

LANDLINE ☐  CELL ☐  (USED FOR IDENTITY VERIFICATION)

FAX#: ___________________________  CONTACT EMAIL: ___________________________

SECTION 2 – BANK ACCOUNT INFORMATION (ATTACH COPY OF VOIDED CHECK OR SIGNED BANK LETTER)

REQUIRED FOR ALL NEW SUPPLIERS OR CHANGES/ADDS FOR EXISTING SUPPLIERS

ROUTING #: ___________________________  BANK ACCOUNT #: ___________________________

☐ Check here if General Bank Account can be used by ALL State of Georgia agencies making payments.

☐ Check here if this account can only be used for SPECIFIC purpose.

Describe specific purpose

ACCOUNTS RECEIVABLE NOTIFICATION

PYMT REMIT EMAIL: ___________________________  LOC#: ___________________________

PYMT REMIT EMAIL: ___________________________  LOC#: ___________________________

I authorize the State of Georgia to deposit payment for goods and/or services received into the provided bank account by the Automated Clearing House (ACH). I further acknowledge that this agreement is to remain in full effect until such time as changes to the bank account information are submitted in writing by the vendor or individual named below. It is the sole responsibility of the vendor or individual to notify the State of Georgia of any changes to the bank account information. The State of Georgia independently authenticates bank account ownership.

Printed Name of Company Officer ___________________________  Signature of Company Officer ___________________________  Date ___________________________

SECTION 3 – SPECIFY TYPE OF ACTION (CHECK ALL THAT APPLY) REQUIRED

Classification Change  Deactivate/Reactivate (Enter Justification in Section 4)  1099 Code

Name/FEI/TIN Change  Add/Change Address Addr#: ___________________________  Other (Details in Section 4)

Bank Account Add/Change Loc#: HCM Vendor  Statewide Contract (SWC)

Documentation for Vendor Name/FEI/TIN changes must include at least one of the following: IRS documentation (tax documents, FEI issuance letter, etc.); Confirmation from Secretary of State’s office of legal name change; OR a newly completed W-9 form provided by the vendor.

BUSINESS CERTIFICATIONS – CHECK ALL THAT APPLY

☐ Small Business  ☐ Women Owned  ☐ Minority Business Certified  ☐ Hispanic – Latino  ☐ African American

☐ GA Based Business  ☐ Minority Business Certified  ☐ Asian American  ☐ Pacific Islander  ☐ Native American

☐ Not Applicable

MINORITY BUSINESS ENTERPRISE (51% Owned):

SECTION 4 – ADDITIONAL COMMENTS

SECTION 5 – STATE OF GEORGIA AGENCY LIAISON CONTACT INFORMATION (COMPLETED BY AGENCY LIAISON ONLY) ALL FIELDS REQUIRED.

By my signature, I certify that all reasonable effort has been made to submit information that is accurate, true, and is associated with the vendor name and Tax ID listed above.

Liaison Name: ___________________________  Agency BU#: ___________________________

Signature: ___________________________  Date: ___________________________

Email: ___________________________  Phone: ___________________________  Fax: ___________________________

State Accounting Office Revised 08-14-2019
Request for Taxpayer Identification Number and Certification

1. Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.

2. Business name/disregarded entity name, if different from above

3. Check appropriate box for federal tax classification; check only one of the following seven boxes:
   - Individual/sole proprietor or
   - C Corporation
   - S Corporation
   - Partnership
   - Trust/estate
   - Single-member LLC
   - Limited liability company. Enter the tax classification (C, S, or LLC). Note: For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner.
   - Other (see instructions)

4. Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):
   - Exempt payee code (if any)
   - Exemption from FATCA reporting code (if any)
   (Applies to accounts maintained outside the U.S.)

5. Address (number, street, and apt. or suite no.)

6. City, state, and ZIP code

7. List account number(s) here [optional]

Part I: Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see How to get a TIN on page 3.

Note: If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.

Social security number

or

Employer identification number

Part II: Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and

2. I am not subject to backup withholding because: (a) I exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and

3. I am a U.S. citizen or other U.S. person (defined below); and

4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here

Signature of U.S. person

Date

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at www.irs.gov/fw9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

• Form 1099-INT (interest earned or paid)
• Form 1099-DIV (dividends, including those from stocks or mutual funds)
• Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
• Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
• Form 1099-S (proceeds from real estate transactions)
• Form 1099-K (merchant card and third party network transactions)

• Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
• Form 1099-C (canceled debt)
• Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding? on page 2.

By signing the filled-out form, you:
1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding,
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, you are allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners’ share of effectively connected income, and
4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from FATCA reporting, is correct. See What is FATCA reporting? on page 2 for further information.
Note. If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester’s form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

• An individual who is a U.S. citizen or U.S. resident alien;
• A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States;
• An estate (other than a foreign estate);
• A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax under section 1446 on any foreign partner’s share of effectively connected taxable income from such business. Further, in certain cases where or to which a Form W-9 has not been received, the rules under section 1446 require a partnership to presume that a partner is a foreign person, and pay the section 1446 withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid section 1446 withholding on your share of partnership income.

In the cases below, the following person must give Form W-9 to the partnership for Form W-9 purposes to establish your U.S. status and avoid withholding on its allocable share of net income from the partnership conducting a trade or business in the United States:

• In the case of a disregarded entity with a U.S. owner, the U.S. owner of the disregarded entity and not the entity;
• In the case of a grantor trust with a U.S. grantor or other U.S. owner, generally, the U.S. grantor of other U.S. owner of the grantor trust and not the trust; and
• In the case of a U.S. trust (other than a grantor trust) and not the beneficiaries of the trust.

Foreign persons. Generally, a foreign person or the U.S. branch of a foreign bank that has been elected to be treated as a U.S. person, do not use Form W-9. Instead, use the appropriate Form W-8-B or Form 8233 (see Publication 515, Withholding of Tax on Nonresident Aliens and Foreign Entities).

Nonresident alien who becomes a resident alien. Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a “saving clause.” Exceptions specified in the saving clause may limit an exemption from tax to a certain type of income even after the payee has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income you must attach a statement to Form W-9 that specifies the following five items:

1. The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
2. The treaty article addressing the income.
3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.
4. The type and amount of income that qualifies for the exemption from tax.
5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

Example. Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if he or she stay in the United States exceeds 5 calendar years. However, paragraph 2 of the 1st Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the 1st protocol) and is relying on this exception to claim an exemption from tax on his or her scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity, give the requester the appropriate completed Form W-8 or Form 8233.

Backup Withholding

What is backup withholding? Persons making certain payments to you must under certain conditions withhold and pay to the IRS 28% of such payments. This is called “backup withholding.” Payments that may be subject to backup withholding include interest, tax-exempt interest, dividends, broker and barter exchange transactions, rents, royalties, nominees or nominees fee, payments made in settlement of payment card and third party network transactions, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

Payments you receive will be subject to backup withholding if:

1. You do not furnish your TIN to the requester,
2. You do not certify that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or
3. The IRS tells the requester that you furnished an incorrect TIN,
4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or
5. You do not certify to the requester that you are not subject to backup withholding under 4 above (for reportable interest and dividend accounts opened after 1990 only).

Certain payments and payments are exempt from backup withholding. See Exempt Payee Code on page 3 and the separate Instructions for the Requester of Form W-9 for more information.

Also see Special rules for partnerships above.

What is FATCA reporting?

The Foreign Account Tax Compliance Act (FATCA) requires a participating foreign financial institution to report all United States account holders that are specified United States persons. Certain payee are exempt from FATCA reporting. See Exemption from FATCA reporting code on page 3 and the Instructions for the Requester of Form W-9 for more information.

Updating Your Information

You must provide updated information to any person to whom you claimed to be an exempt payee if you are no longer an exempt payee and anticipate receiving reportable payments in the future from this person. For example, you may need to provide updated information if you are a C corporation that elects to be a S corporation, or if you no longer are a tax exempt. In addition, you must furnish a new Form W-9 if the name or TIN changes for the account, for example, if the grantor of a grantor trust dies.

Penalties

Failure to furnish TIN. If you fail to furnish your correct TIN to a requester, you are subject to a penalty of $50 to $1,000 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

Civil penalty for false information with respect to withholding. If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a $250 penalty.

Criminal penalty for falsifying information. Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

Misuse of TINs. If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

Specific Instructions

Line 1

You must enter one of the following on line 1; do not leave this line blank. The name should match the name on your tax return.

If this Form W-9 is for a joint account, list first, and then circle, the name of the person or entity whose number you entered in Part I of Form W-9.

a. Individual. Generally, enter the name shown on your tax return. If you have changed your legal name without informing the Social Security Administration (SSA) of the name change, enter your first name, the last name as shown on your social security card, and your new last name.

Note. ITIN applicant: Enter your individual name as it was entered on your Form W-7 application, line 1a. This should also be the name as the name you entered on the Form 1040/1040A/1040EZ you filed with your application.

b. Sole proprietor or single-member LLC. Enter your individual name as shown on your 1040/1040A/1040EZ on line 1. You may enter your business, trade, or “doing business as” (DBA) name on line 2.

c. Partnership, LLC that is not a single-member LLC, C Corporation, or S Corporation. Enter the entity’s name as shown on the entity’s tax return on line 1 and any business, trade, or DBA name on line 2.

d. Other entities. Enter your name as shown on your qualified U.S. federal tax documents on line 1. This name should match the name shown on the charter or other legal document creating the entity. You may enter any business, trade, or DBA name on line 2.

e. Disregarded entity. For U.S. federal tax purposes, an entity that is disregarded as an entity separate from its owner is treated as a “disregarded entity.” See Regulations section 301.7701-2(a)(2)(iiii). Enter the owner’s name on line 1. The name of the entity entered on line 1 should never be a disregarded entity. The name on line 1 should be the name shown on the income tax return on which the income should be reported. For example, if a foreign LLC that is treated as a disregarded entity for U.S. federal tax purposes has a single owner that is a U.S. person, the U.S. owner’s name is required to be provided on line 1. If the direct owner of the entity is also a disregarded entity, enter the first owner that is not disregarded for federal tax purposes. Enter the disregarded entity’s name on line 1, “Business name/disregarded entity name.” If the owner of the disregarded entity is a foreign person, the owner must complete an appropriate Form W-8 instead of a Form W-9. This is the case even if the foreign person has a U.S. TIN.
Line 2
If you have a business name, trade name, DBA name, or disregarded entity name, you may enter it on line 2.

Line 3
Check the appropriate box in line 3 for the U.S. federal tax classification of the person whose name is entered on line 1. Check only one box in line 3.

Limited Liability Company (LLC). If the name on line 1 is an LLC treated as a partnership for U.S. federal tax purposes, check the "Limited Liability Company" box and enter "P" in the space provided. If the LLC has filed Form 8832 or 2553 to be taxed as a corporation, check the "Limited Liability Company" box and in the space provided enter "C" for C corporation or "S" for S corporation. If it is a single-member LLC that is a disregarded entity, do not check the "Limited Liability Company" box. Instead check the first box in line 3 "Individual/solo proprietor or single-member LLC."* 

Line 4, Exemptions
If you are exempt from backup withholding and/or FATCA reporting, enter in the appropriate space in line 4 any code(s) that may apply to you.

Exempt payee code.
- Generally, individuals (including sole proprietors) are not exempt from backup withholding.
- Except as provided below, corporations are exempt from backup withholding for certain payments, including interest and dividends.
- Corporations are not exempt from backup withholding for payments made in settlement of payment card or third party network transactions.
- Corporations are not exempt from backup withholding with respect to attorneys' fees or gross proceeds paid to attorneys, and corporations that provide medical or health care services are not exempt with respect to payments reportable on Form 1099-MISC.

The following codes identify payees that are exempt from backup withholding.

1 - An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2)
2 - The United States or any of its agencies or instrumentalities
3 - A state, the District of Columbia, a U.S. commonwealth or possession, or any of their political subdivisions or instrumentalities
4 - A foreign government or any of its political subdivisions, agencies, or instrumentalities
5 - A corporation
6 - A dealer in securities or commodities required to register in the United States, the District of Columbia, or a U.S. commonwealth or possession
7 - A futures commission merchant registered with the Commodity Futures Trading Commission
8 - A real estate investment trust
9 - An entity registered at all times during the tax year under the Investment Company Act of 1940
10 - A common trust fund operated by a bank under section 584(a)
11 - A financial institution
12 - A middleman known in the investment community as a nominee or custodian
13 - A trust exempt from tax under section 664 or described in section 4947

The following chart shows types of payments that may be exempt from backup withholding. The chart applies to the exempt payees listed above, 1 through 13.

<table>
<thead>
<tr>
<th>IF the payment is for:</th>
<th>THEN the payment is exempt for:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Interest and dividend payments</td>
<td>All exempt payees except for 7</td>
</tr>
<tr>
<td>Broker transactions</td>
<td>Exempt payees 1 through 4 and 6 through 11 and all C corporations. S corporations must not enter an exempt payee code because they are exempt only for sales of noncovered securities acquired prior to 2012.</td>
</tr>
<tr>
<td>Barter exchange transactions and patronage dividends</td>
<td>Exempt payees 1 through 4</td>
</tr>
<tr>
<td>Payments over $600 required to be reported and direct sales over $5,000</td>
<td>Generally, exempt payees 1 through 5</td>
</tr>
<tr>
<td>Payments made in settlement of payment card or third party network transactions</td>
<td>Exempt payees 1 through 4</td>
</tr>
</tbody>
</table>

* See Form 1099-MISC, Miscellaneous Income, and its instructions.

However, the following payments made to a corporation and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees, gross proceeds paid to an attorney reportable under section 6045(b), and payments for services paid by a federal executive agency.

Exemption from FATCA reporting code. The following codes identify payees that are exempt from reporting under FATCA. These codes apply to persons submitting this form for accounts maintained outside of the United States by certain foreign financial institutions. Therefore, if you are only submitting this form for an account you hold in the United States, you may leave this field blank. Consult with the person requesting this form if you are uncertain if the financial institution is subject to these requirements. A requester may indicate that a code is not required by providing you with a Form W-9 with "Not Applicable" (or any similar indication) written or printed on the line for a FATCA exemption code.

1 - An organization exempt from tax under section 501(a) or any individual's retirement plan as defined in section 7701(a)(27)
2 - The United States or any of its agencies or instrumentalities
3 - A state, the District of Columbia, a U.S. commonwealth or possession, or any of their political subdivisions or instrumentalities
4 - A corporation the stock of which is regularly traded on one or more established securities markets, as described in Regulations section 1.1472-1(c)(1)(iii)
5 - A corporation that is a member of the same expanded affiliated group as a corporation described in Regulations section 1.1472-1(c)(1)(ii)
6 - A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state
7 - An investment trust
8 - A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940
9 - A common trust fund as defined in section 584(a)
10 - A bank as defined in section 581
11 - A broker
12 - A trust exempt from tax under section 664 or described in section 4947(a)(1)
13 - A tax exempt trust under section 403(b) plan or section 457(g) plan

Note. You may wish to consult with the financial institution requesting this form to determine whether the FATCA code and/or exempt payee code should be completed.

Line 5
Enter your address (number, street, and apartment or suite number). This is where the requester of this Form W-9 will mail your information returns.

Line 6
Enter your city, state, and ZIP code.

Part I. Taxpayer Identification Number (TIN)
Enter your TIN in the appropriate box. If you are a resident alien and you do not have and are not eligible to get an SSN, your TIN is your IRS individual taxpayer identification number (TIN). Enter it in the social security number box. If you do not have an SSN, see How to get a TIN below.

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN. However, the IRS prefers that you use your SSN.

If you are a single-member LLC that is disregarded as an entity separate from its owner (see Limited Liability Company (LLC) on this page), enter the owner's SSN (or EIN, if the owner has one). Do not enter the disregarded entity's EIN. If the LLC is classified as a corporation or partnership, enter the entity's EIN.

Note. See the chart on page 4 for further clarification of name and TIN combinations.

How to get a TIN. If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local SSA office or get this form online at www.ssa.gov. You may also get this form by calling 1-800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at www.irs.gov/businesses and clicking on Employer Identification Number (EIN) under Starting a Business. You can get Forms W-7 and SS-4 from the IRS by visiting IRS.gov or by calling 1-800-TAX-FORM (1-800-829-3676).

If you are asked to complete Form W-9 but do not have a TIN, apply for a TIN and write "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, generally you will have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

Note. Entering "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon.

Caution: A disregarded U.S. entity that has a foreign owner must use the appropriate Form W-9.
Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if items 1, 2, or 5 below indicate otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). In the case of a disregarded entity, the person identified on line 1 must sign. Exempt payees, see Exempt payee code earlier.

Signature requirements. Complete the certification as indicated in items 1 through 5 below.

1. Interest, dividend, and broker exchange accounts opened before 1984 and broker accounts considered active during 1983. You must give your correct TIN, but you do not have to sign the certification.

2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983. You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.

3. Real estate transactions. You must sign the certification. You may cross out item 2 of the certification.

4. Other payments. You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. “Other payments” include payments made in the course of the requester’s trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments made in settlement of payment card and third party network transactions, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).

5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions. You must give your correct TIN, but you do not have to sign the certification.

What Name and Number To Give the Requester

For this type of account: Give name and SSN of:

1. Individual

2. Two or more individuals (joint account)

3. Custodian account of a minor

4. a. The usual revocable savings trust (grantor is also trustee)
b. So-called trust account that is not a legal or valid trust under state law

5. Sole proprietorship or disregarded entity owned by an individual

6. Grantor trust filing under Optional Form 1099 Filing Method 1 (see Regulations section 1.671-4(b)(2)(i)(B))

For this type of account: Give name and EIN of:

7. Disregarded entity not owned by an individual

6. A valid trust, estate, or pension trust

9. Corporation or LLC electing corporate status on Form 5532 or Form 2553

10. Association, club, religious, charitable, educational, or other tax-exempt organization

11. Partnership or multi-member LLC

12. A broker or registered nominee

13. Account with the Department of Agriculture in the name of a public entity, (such as a state or local government, school district, or prison) that receives agricultural program payments

14. Grantor trust filing under the Form 1041 Filing Method or the Optional Form 1099 Filing Method 2 (see Regulations section 1.671-4(b)(2)(ii) (B))

1 You must show your individual name and you may also enter your business or DBA name on the "Business name/taxpayer entity" name line. You may use either your SSN or EIN if you have one, but the IRS encourages you to use your SSN.

4 List first and circle the name of the trust, estate, or pension trust. Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title. Also see Special rules for partnerships on page 2.

*Note. Grantor also must provide a Form W-11 to trustee of trust.

Note. If no name is circled when more than one name is listed, the number will be considered to be that of the first named.

Secure Your Tax Records from Identity Theft

Identity theft occurs when someone uses your personal information such as your name, SSN, or other identifying information, without your permission, to commit fraud or other crimes. An identity thief may use your SSN to get a job or may file a tax return using your SSN to receive a refund.

To reduce your risk:

- Protect your SSN.
- Ensure your employer is protecting your SSN, and
- Be careful when choosing a tax preparer.

If your tax records are affected by identity theft and you receive a notice from the IRS, respond right away to the name and phone number printed on the IRS notice or letter.

If your tax records are not currently affected by identity theft but you think you are at risk due to a lost or stolen purse or wallet, questionable credit card activity or credit report, contact the IRS Identity Theft Hotline at 1-860-868-4480 or submit Form 14039.

For more information, see Publication 4553, Identity Theft Prevention and Victim Assistance.

Vitamins of identity theft who are experiencing economic harm or a system problem, or are seeking help in resolving tax problems that have not been resolved through normal channels, may be eligible for Taxpayer Advocate Service (TAS) assistance.

You can reach TAS by calling the TAS toll-free case intake line at 1-877-777-4778 or TTY/MDT 1-800-829-4059.

Prepare yourself from suspicious emails or phising schemes. Phising is the creation and use of email and websites designed to mimic legitimate business emails and websites. The most common act is sending an email to a user falsely claiming to be an established legitimate enterprise in an attempt to scam the user into surrendering private information that will be used for identity theft.

The IRS does not initiate contacts with taxpayers via emails. Also, the IRS does not request personal detailed information through email or ask taxpayers for the PIN numbers, passwords, or similar secret access information for their credit card, bank, or other financial accounts.

If you receive an unsolicited email claiming to be from the IRS, forward this message to phishing@irs.gov. You may also report misuse of the IRS name, logo, or other IRS property to the Treasury Inspector General for Tax Administration (TIGTA) at 1-800-366-4484. You can forward suspicious emails to the Federal Trade Commission at: spam@uce.gov or contact them at www.ftc.gov/idtheft or 1-877-IDTHEFT (1-877-438-4388).

Visit IRS.gov to learn more about identity theft and how to reduce your risk.

Privacy Act Notice

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons (including federal agencies) who are required to file information returns with the IRS to report interest, dividends, or certain other income paid to you; mortgage interest you paid; the acquisition or abandonment of secured property; the cancellation of debt; or contributions you made to an IRA, Archer MSA, or HSA. The person collecting this form uses the information on the form to file information returns with the IRS, reporting the above information. Routine uses of this information include giving it to the Department of Justice for civil and criminal litigation and to cities, states, the District of Columbia, and U.S. commonwealths and possessions for use in administering their laws. The information also may be disclosed to other countries under a treaty, to federal and state agencies to enforce civil and criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism. You must provide your TIN whether or not you are required to file a tax return. Under section 3408, payers must generally withhold a percentage of taxable interest, dividend, and certain other payments to a payee who does not give a TIN to the payer. Certain penalties may also apply for providing false or fraudulent information.